

---

# Next Generation neutrino Experiments at Accelerators: the DUNE Experiment at FNAL



Sergio Bertolucci  
University of Bologna and INFN

---

# Looking for “unknown unknowns”

---

Needs a synergic use of:

- High-Energy colliders
- neutrino experiments (solar, atmospheric, cosmogenic, short/long baseline, reactors,  $0\nu\beta\beta$  decays, masses)
- cosmic surveys (CMB, Supernovae, BAO, Dark E)
- gravitational waves
- dark matter direct and indirect detection
- precision measurements of rare decays and phenomena
- dedicated searches (WIMPS, axions, dark-sector particles)
- .....





# From the P5 Report (USA)

---

**Recommendation 12 : In collaboration with international partners, develop a coherent short- and long-baseline neutrino program hosted at Fermilab.**

The minimum requirements to proceed are the identified capability to reach an exposure of at least **120 kt\*MW\*yr by the 2035 timeframe**, the far detector situated **underground** with cavern space for expansion **to at least 40 kt LAr fiducial** volume, and **1.2 MW beam power upgradable to multi megawatt** power. The experiment should have the demonstrated capability to search for **supernova (SN) bursts** and for **proton decay**, providing a significant improvement in discovery sensitivity over current searches for the proton lifetime.

---

# From the European Strategy Document

---

f) Rapid progress in neutrino oscillation physics, with significant European involvement, has established a strong scientific case for a long-baseline neutrino programme exploring CP violation and the mass hierarchy in the neutrino sector.

**CERN should develop a neutrino programme to pave the way for a substantial European role in future long-baseline experiments.**

**Europe should explore the possibility of major participation in leading long-baseline neutrino projects in the US and Japan.**

---

# From Japan HEP Community

---

The committee makes the following recommendations concerning large-scale projects, which comprise the core of future high energy physics research in Japan.

.....

Should the neutrino mixing angle  $\theta_{13}$  be confirmed as large, **Japan should aim to realize a large-scale neutrino detector through international cooperation**, accompanied by the necessary reinforcement of accelerator intensity, so allowing studies on CP symmetry through neutrino oscillations.

This new large-scale neutrino detector should have sufficient sensitivity to allow the search for proton decays, which would be direct evidence of Grand Unified Theories.

---

# Standard Three Neutrino Paradigm

Unitary PMNS matrix described by 3 Euler angles ( $\theta_{12}, \theta_{13}, \theta_{23}$ ) and 1 complex phase ( $\delta$ ).  $\delta \neq \{0, \pi\} \rightarrow$  **CP Violation**

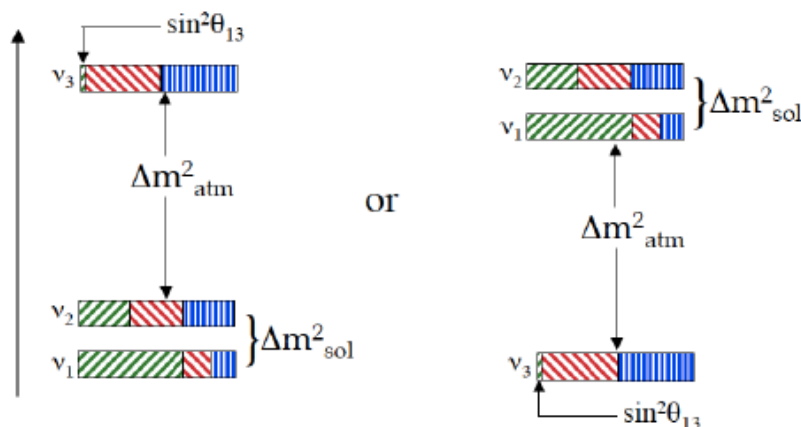
$$U_{\text{PMNS}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}^{\theta_{23} \sim 45^\circ} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}^{\theta_{13} \sim 9^\circ} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}^{\theta_{12} \sim 30^\circ}$$

$s_{ij} = \sin \theta_{ij} ; c_{ij} = \cos \theta_{ij}$

$$\Delta m^2_{\text{atm}} \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$\Delta m^2_{\text{sol}} \sim 7.5 \times 10^{-5} \text{ eV}^2$$

(Mass)<sup>2</sup>



Normal

Inverted

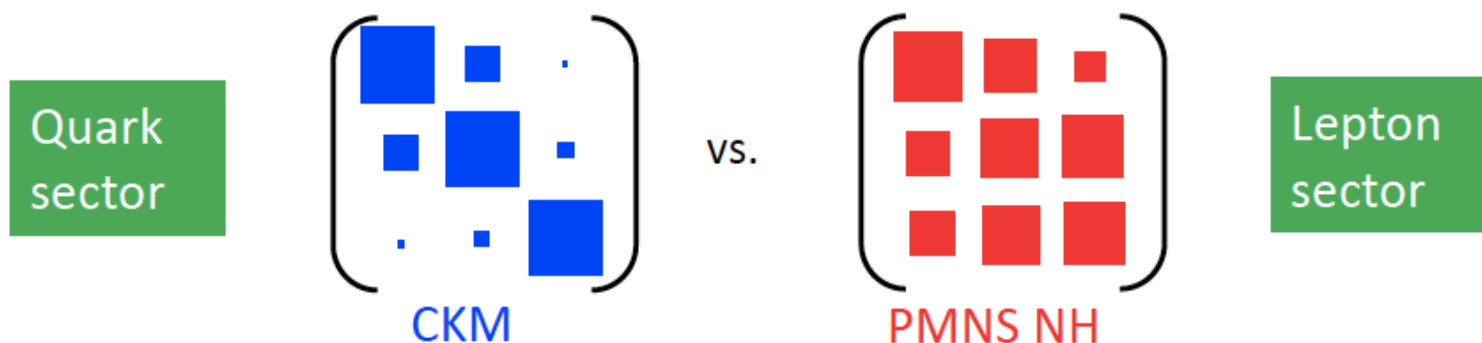
$$\text{Green hatched: } \nu_e [ |U_{e1}|^2 ] \quad \text{Red hatched: } \nu_\mu [ |U_{\mu 1}|^2 ] \quad \text{Blue hatched: } \nu_\tau [ |U_{\tau 1}|^2 ]$$

# Key Questions in Neutrino Physics

- Do neutrinos violate CP symmetry?

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = -16 s_{12} c_{12} s_{13} c_{13}^2 s_{23} c_{23} \sin \delta \sin\left(\frac{\Delta m_{12}^2}{4E} L\right) \sin\left(\frac{\Delta m_{13}^2}{4E} L\right) \sin\left(\frac{\Delta m_{23}^2}{4E} L\right)$$

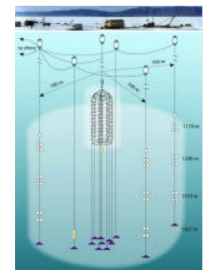
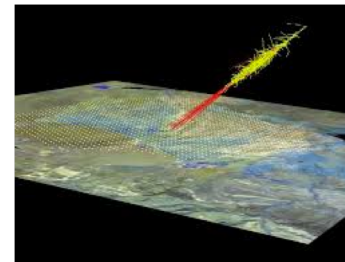
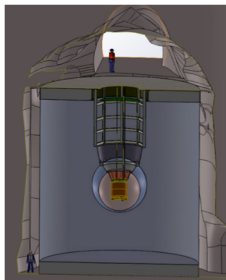
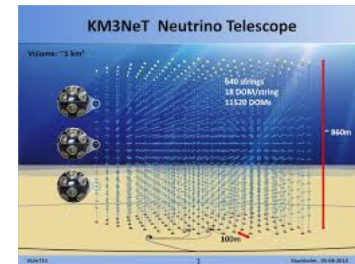
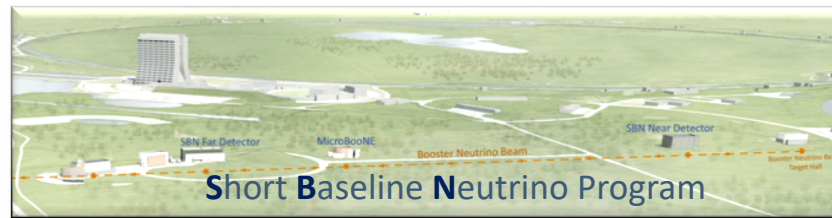
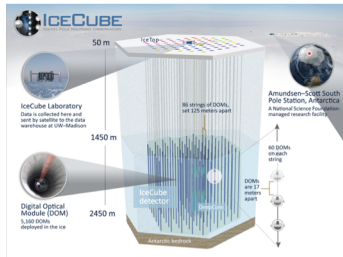
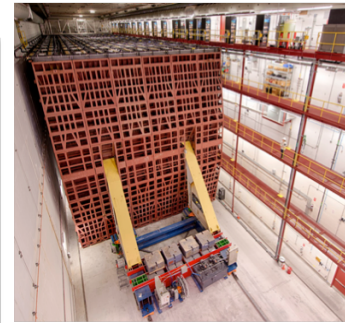
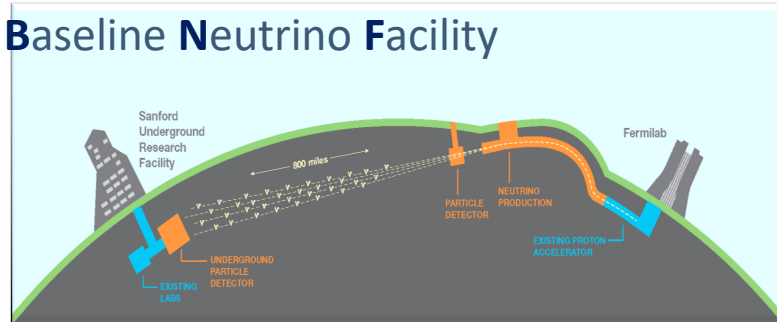
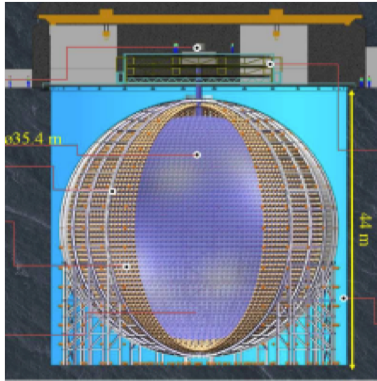
- What is the mass ordering?
- Why are the quark and neutrino mixing matrices so different?



- Are there additional neutrino states?
- Are neutrinos their own antiparticles?
- What is the neutrino mass?

# An Exciting Global Initiative to Understand the Most Abundant Known Matter Particle in the Universe

## Deep **U**nderground **N**eutrino **E**xperiment at the Long **B**aseline **N**eutrino **F**acility



# How to search for CP violation

- Compare oscillation rates for  $\nu$ s and  $\bar{\nu}$ s

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = -16s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23}\sin\delta \sin\left(\frac{\Delta m_{12}^2}{4E}L\right)\sin\left(\frac{\Delta m_{13}^2}{4E}L\right)\sin\left(\frac{\Delta m_{23}^2}{4E}L\right)$$

*(in vacuum)*

- As in quark sector, CP violating effects  
 $\propto J \equiv c_{12}c_{23}c_{13}^2s_{12}s_{23}s_{13}\sin\delta$ , and require no degenerate masses
  - We know mixing angles and mass differences, so we can measure  $P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  and determine  $\delta$ , but there is a complication...
-



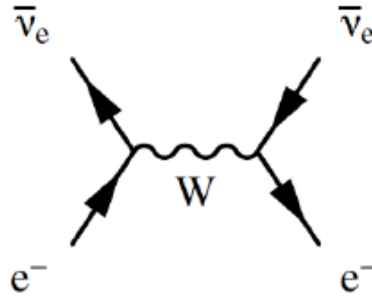
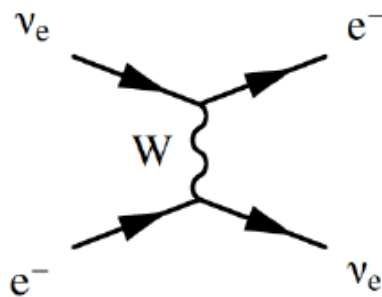
# Matter Effects

- In real experiments, even in the **absence** of CPV,

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \neq 0$$

Neutrinos travel through material that is not CP symmetric, **i.e., matter not antimatter**

- In **vacuum**, the mass eigenstates  $\nu_1, \nu_2, \nu_3$  correspond to the eigenstates of the Hamiltonian:
  - they propagate independently (with appropriate phases)
- In matter, there is an effective potential due to the forward weak scattering processes. **Effect depends on Mass Hierarchy**



$$V = \pm \sqrt{2} G_F n_e$$

Different sign for  $\nu_e$  vs  $\bar{\nu}_e$



# Possible Experimental Strategies

## EITHER:

- Keep L small (~200 km): so that matter effects are insignificant

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \Rightarrow E_\nu < 1 \text{ GeV}$$

- Want high flux at oscillation maximum

 **Off-axis beam:** narrow range of neutrino energies

## OR:

- Make L large (>1000 km): measure the matter effects (i.e., MH)

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \Rightarrow E_\nu > 2 \text{ GeV}$$

- **Unfold CPV from Matter Effects through E dependence**

 **On-axis beam:** wide range of neutrino energies

---

# Possible Experimental Strategies

## EITHER:

- Keep L small (~200 km): so that matter effects are insignificant

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2}$$

- Want high energy oscillation maximum

➡ **Off-axis beam:** narrow range of neutrino energies

## OR:

- Make L large (>1000 km): measure the matter effects (i.e., **MH**)

- First oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2}$$

- **Unfold CPV from Matter effects through E dependence**

➡ **On-axis beam:** wide range of neutrino energies

**Hyper-Kamiokande**

**DUNE**

# It's not only statistics....

---

In the experiment we measure:

$$\frac{\frac{dN_{\nu_e}^{far}}{dE_{rec}}}{\frac{dN_{\nu_\mu}^{near}}{dE_{rec}}} = \frac{\int P_{\nu_\mu \rightarrow \nu_e}(E_\nu) * \phi_{\nu_\mu}^{near}(E_\nu) * F_{far/near}(E_\nu) * \sigma_{\nu_e}^{Ar}(E_\nu) * D_{\nu_e}^{far}(E_\nu, E_{rec}) dE_\nu}{\int \phi_{\nu_\mu}^{near}(E_\nu) * \sigma_{\nu_\mu}^{Ar}(E_\nu) * D_{\nu_\mu}^{near}(E_\nu, E_{rec}) dE_\nu}$$

In order to get the physical quantities, we have to control flux, energy distribution/geometry of the beam, efficiencies, acceptances, etc..

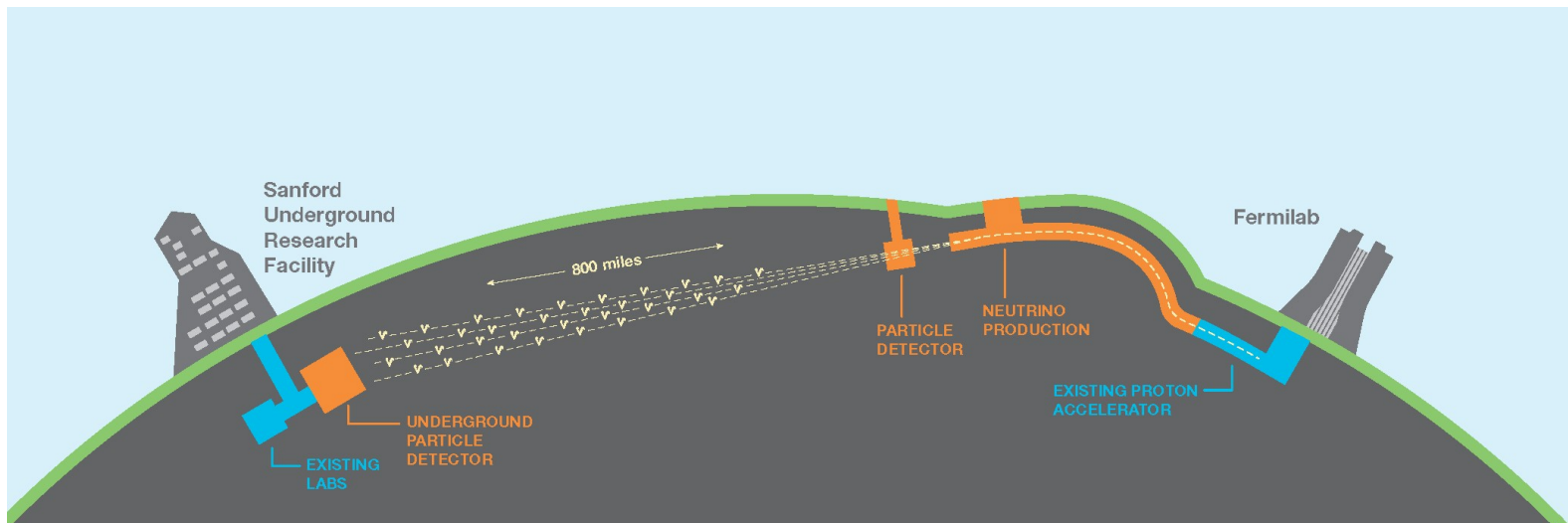


Need one (or more) sophisticated Near Detector to control beam and systematics

---

## Long-Baseline Neutrino Facility

The biggest international project hosted in the US



DUNE Far Detector

DUNE Near Detector  
Neutrino Beam Source

# DUNE Collaboration

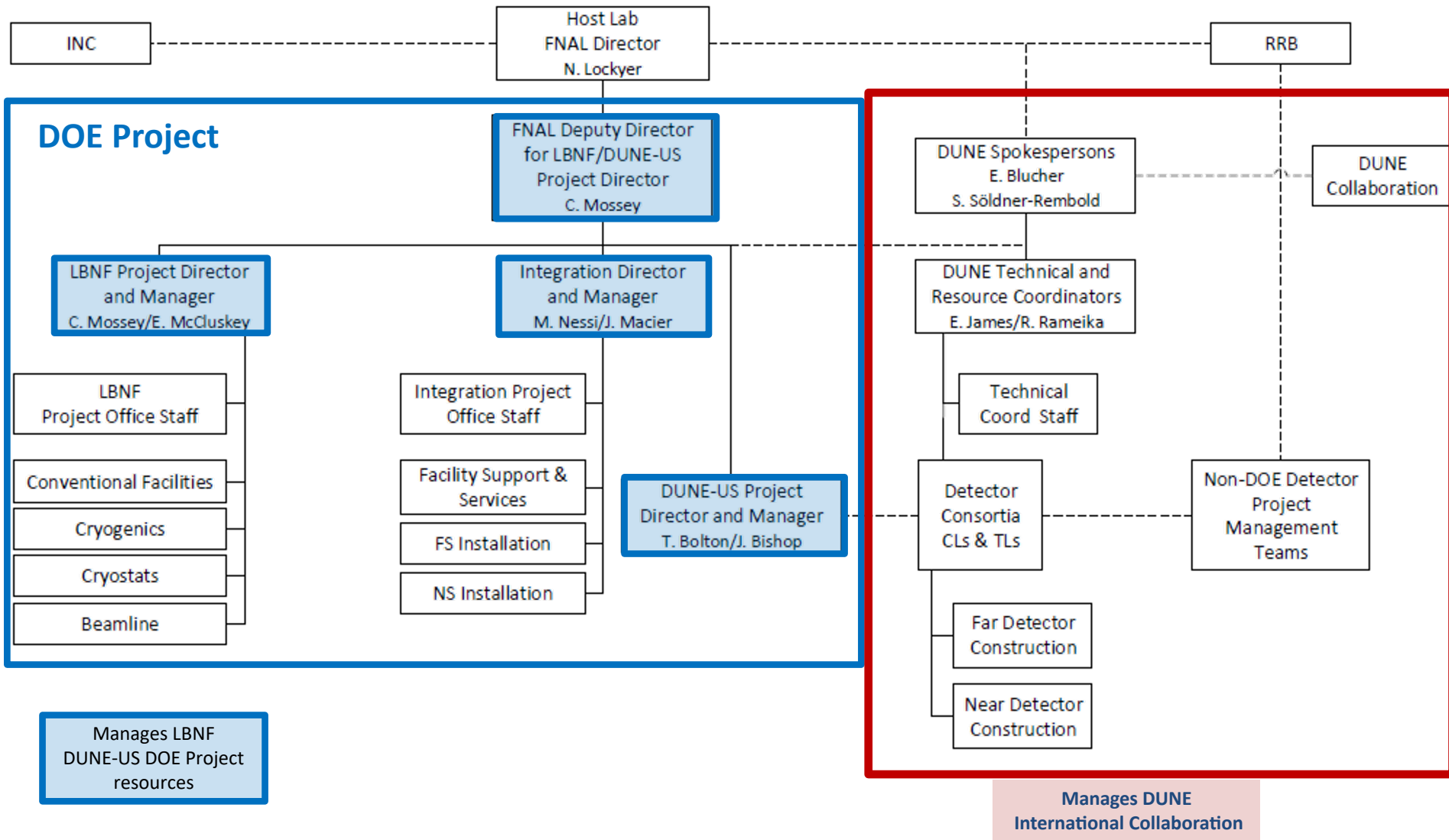
---

- 1180 collaborators from 198 Institutions in 33 Countries
- 628 faculty/scientists, 199 postdocs, 119 engineers, 234 PhD students





# LBNF/DUNE Project Management



# Far Detector Technical Design Report (Feb. 2020)

---



<https://arxiv.org/abs/2002.02967>

<https://arxiv.org/abs/2002.03005>

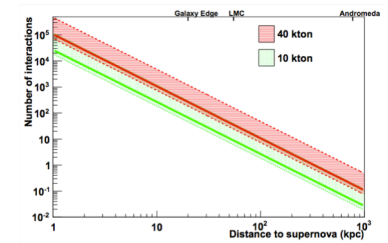
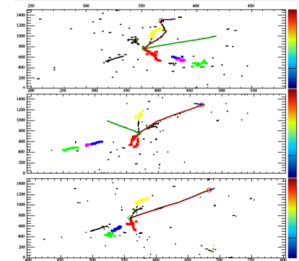
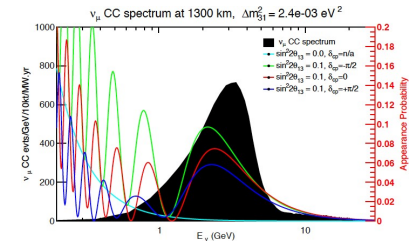
<https://arxiv.org/abs/2002.03008>

<https://arxiv.org/abs/2002.03010>

---

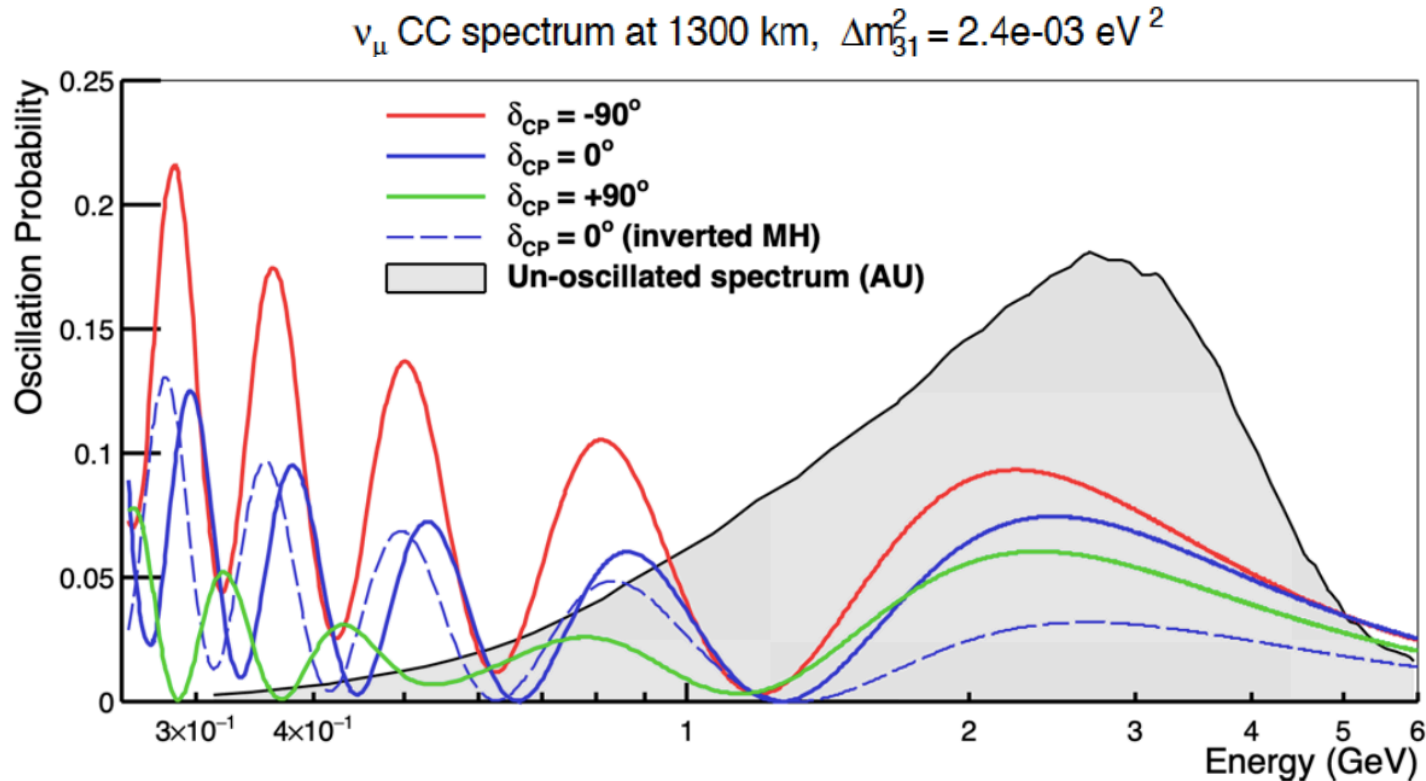
# DUNE Primary Science Goals

- Testing the Neutrino Three-Flavor Paradigm
- CP Phase/CP Violation
- Mass Ordering
- Baryon Number Violation and Grand Unification
- Nucleon Decay
- Neutron/Anti-Neutron Oscillation
- Neutrino Astrophysics
- Supernova Burst Neutrinos



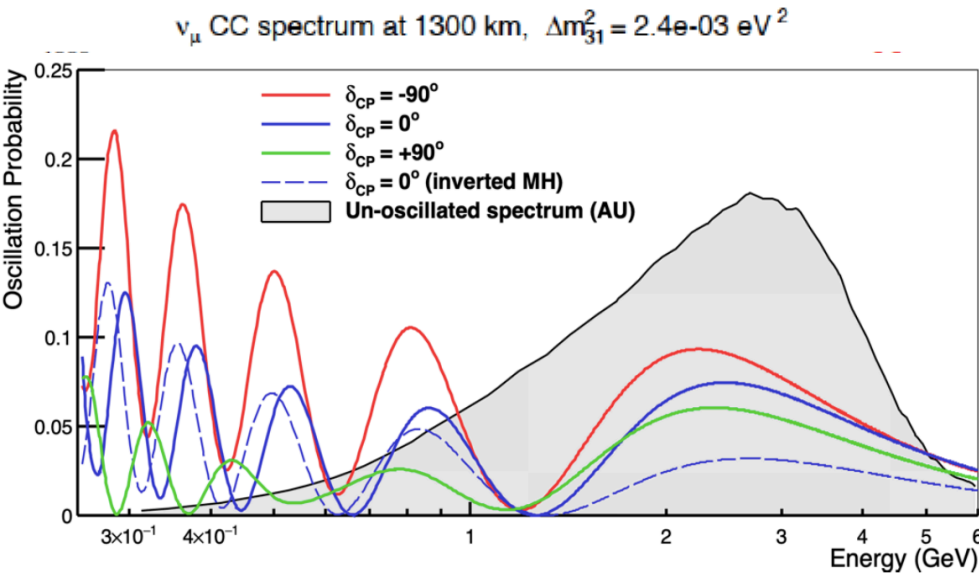


# Testing the Neutrino Three-Flavor Paradigm



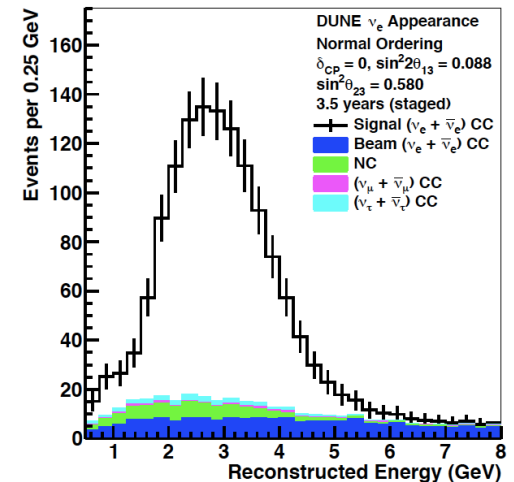
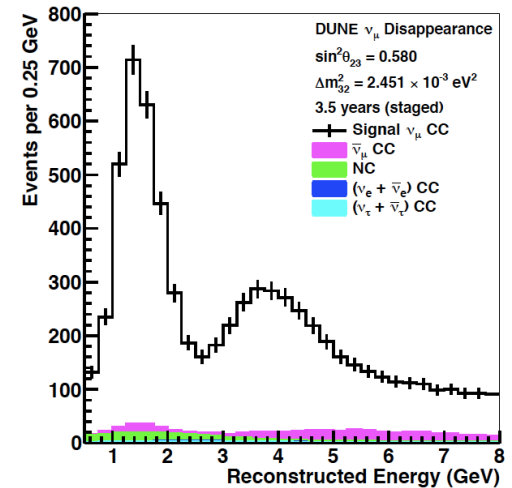
- Oscillation probability depends on the ratio of distance travelled ( $L$ ) and neutrino energy ( $E$ ):  $L/E$
- Lines show  $\nu_e$  appearance probability for a pure  $\nu_\mu$  beam for  $L = 1300$  km for three values of CP violating phase  $\delta_{CP}$
- Filled in curve shows  $\nu_\mu$  energy spectrum at  $L$  if there were no oscillations

# Experimental Method



disappearance

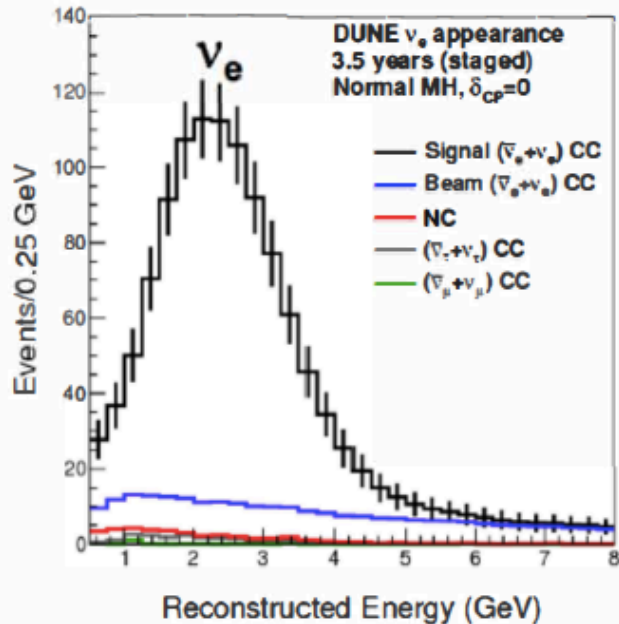
appearance



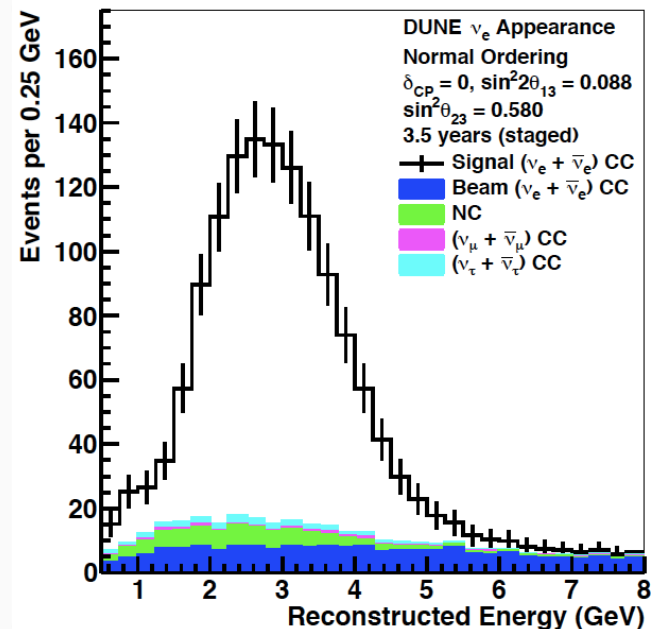
- Produce a pure on-axis  $\nu_\mu$  beam with spectrum matched to oscillation pattern at the chosen distance
- Measure spectrum of  $\nu_\mu$  and  $\nu_e$  at a distant detector
- Do the same with anti-neutrinos
- **Fit all four spectra simultaneously**
- DUNE optimized the choice of beam and distance to have sensitivity to CP violation, CP phase, neutrino mass ordering, and other oscillation parameters *in the same experiment*

# Improving Our Tools

Conceptual Design Report (2016):  
Parametrized detector response  
and estimated efficiency



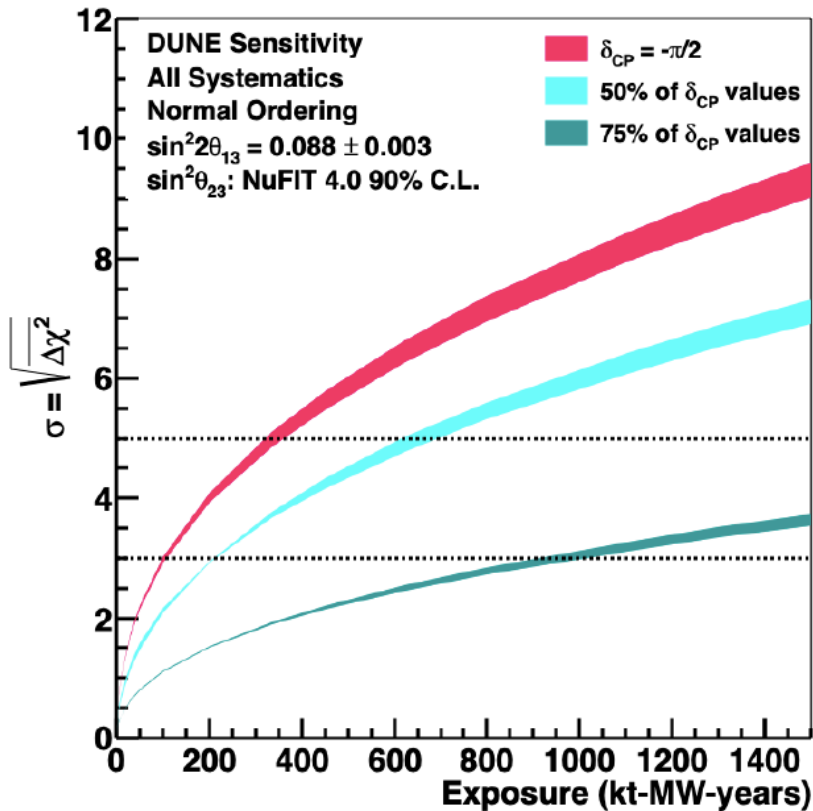
Technical Design Report(2020):  
Full simulation reconstruction+  
chain and CVN event selection



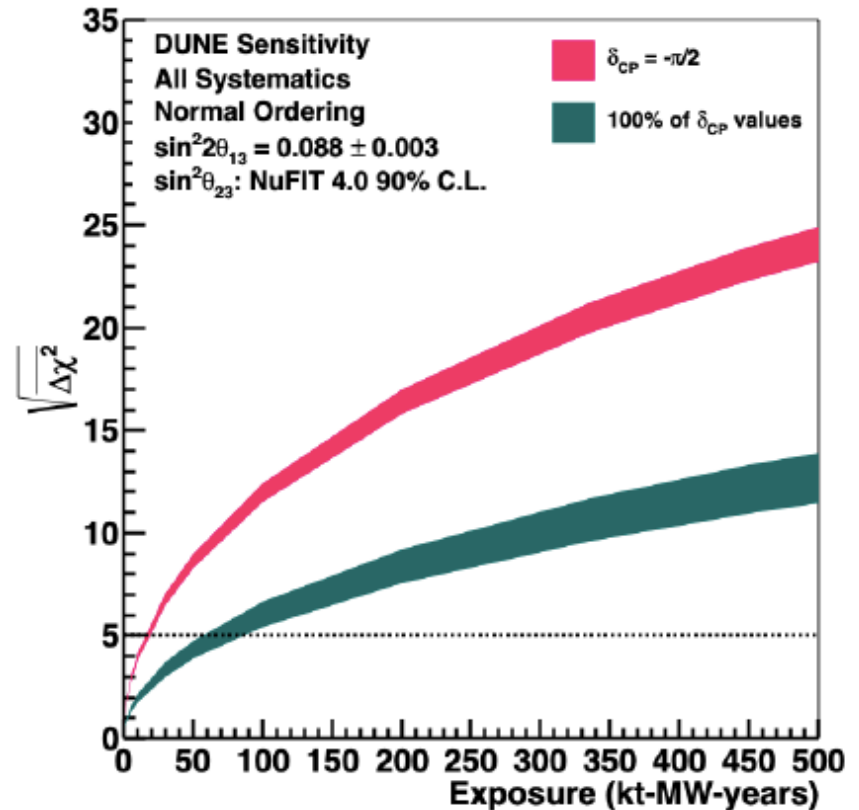
- Sensitivity from MC-based analysis with full reconstruction chain similar/better than in Conceptual Design Report (CDR)
- Sensitivity plots have been updated for the TDR

# CP Violation and MH Sensitivity

CP Violation Sensitivity

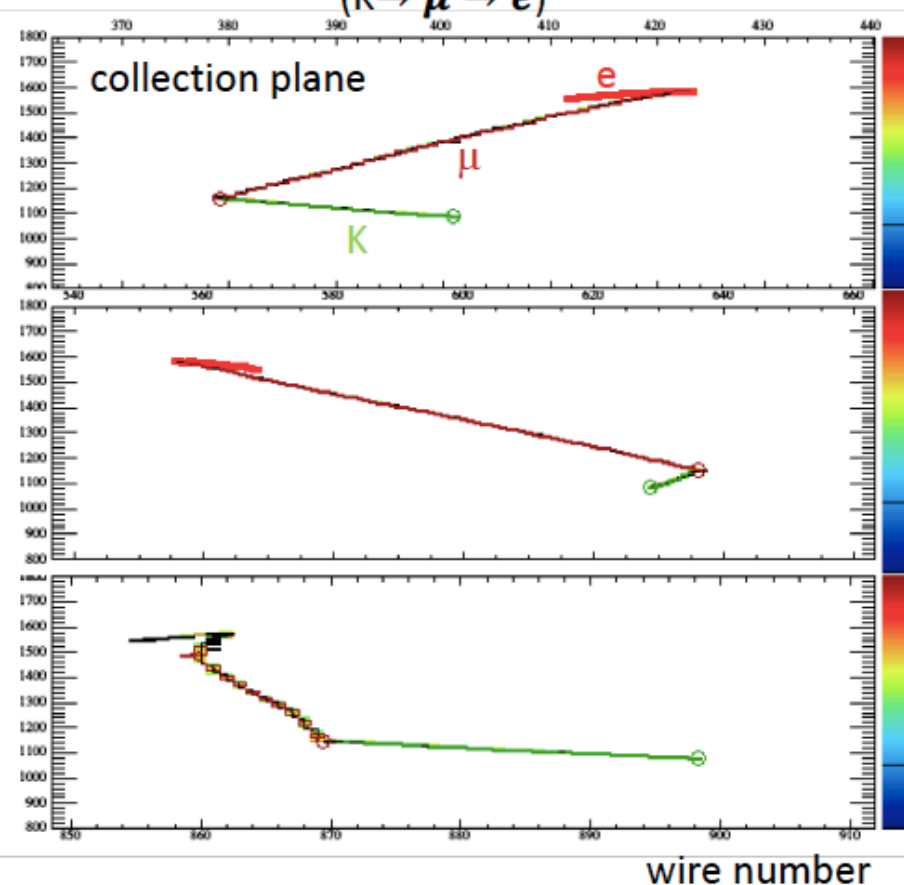


Mass Ordering Sensitivity



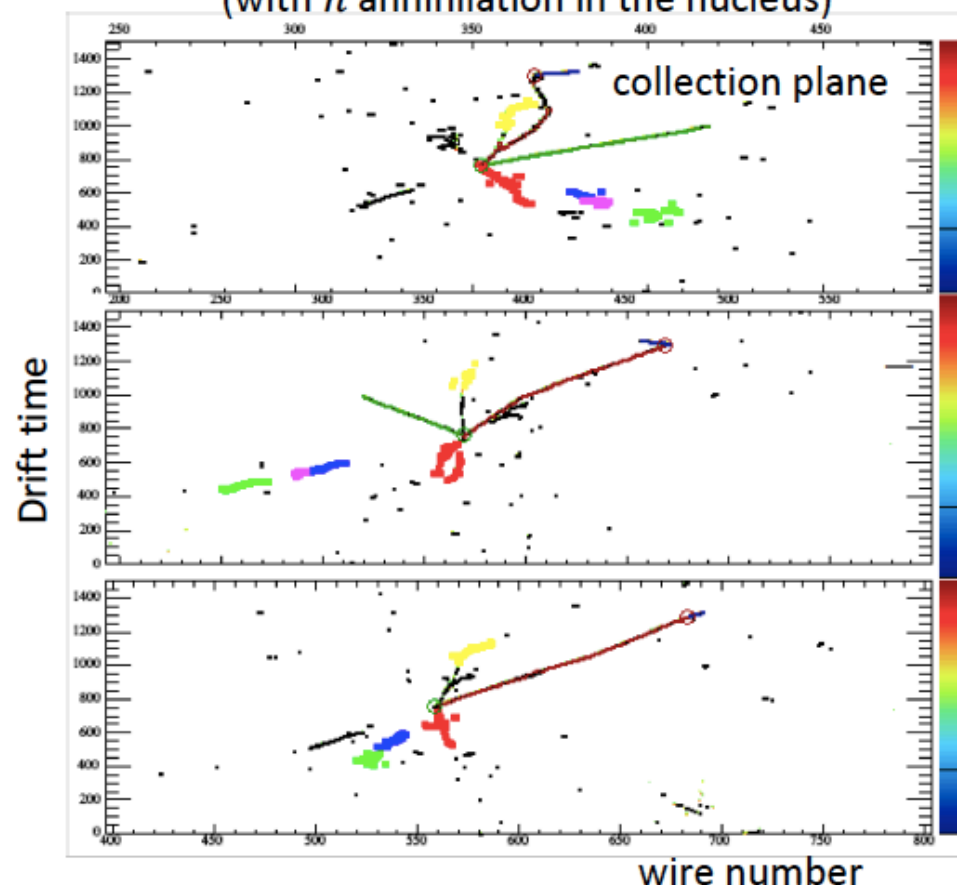
# Baryon Number Violation

$$p \rightarrow \bar{\nu} K$$
$$(K \rightarrow \mu \rightarrow e)$$



$$n - \bar{n} \text{ oscillation}$$

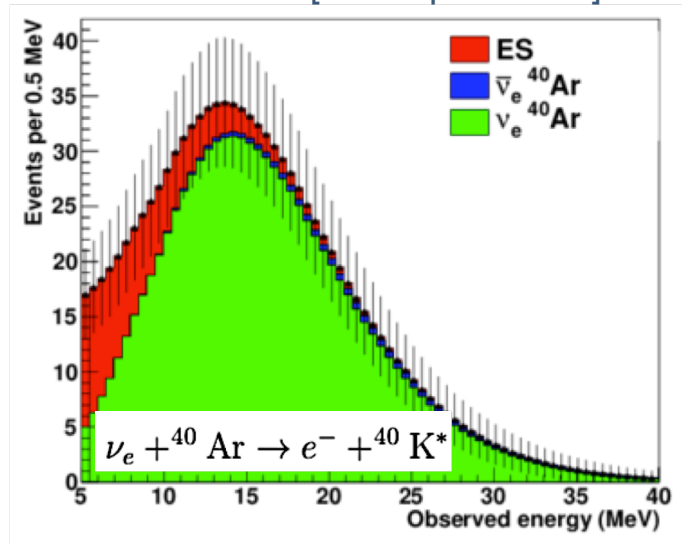
(with  $\bar{n}$  annihilation in the nucleus)



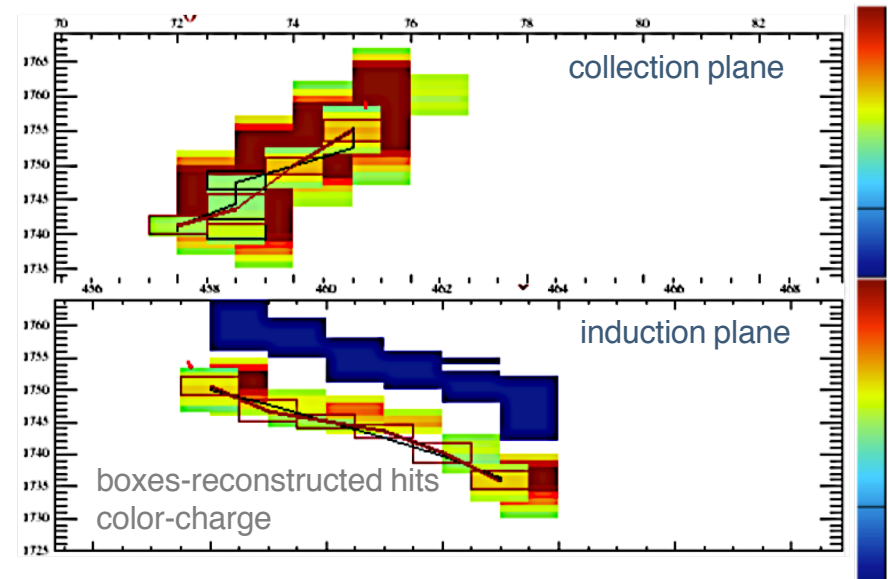
- Full simulation and reconstruction

# Supernova Burst/Low Energy Neutrinos

Electron-capture SN at 10 kpc in  
40-kt LArTPC [Huedepohl 2009]



10.25 MeV electron



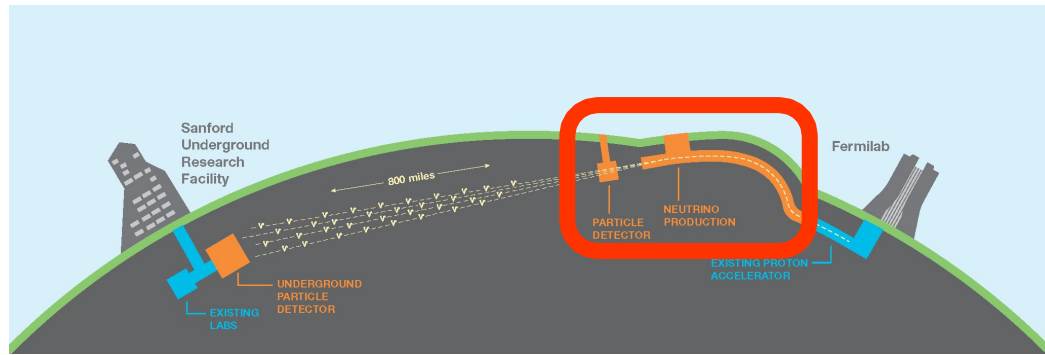
- Sensitive to  $\nu_e$ ; complementary to water Cherenkov detectors
- Tracks only a few centimeters long but event-by-event energy reconstruction is possible in LAr
- Pointing may be possible using elastic scattering (ES) from electrons
- Triggering understood for SNB (100 sec readout buffer) but a challenge for solar neutrinos

# ***INFN and DUNE***

- Following the decision of the DUNE Collaboration of the two detectors configuration, **INFN is willing to provide all the needed resources** to dismount, refurbish, deliver, reassemble and commission a fully functional magnet + e.m. calorimeter+ LAr active target (~1.5 t)
- INFN is contributing to the Photon Detection System of the far detector
- INFN has also started to contribute to the design of the magnet for the new detector, and it is considering to contribute to its construction.
- INFN is contributing to PIP-II, the new high-power beam of FNAL

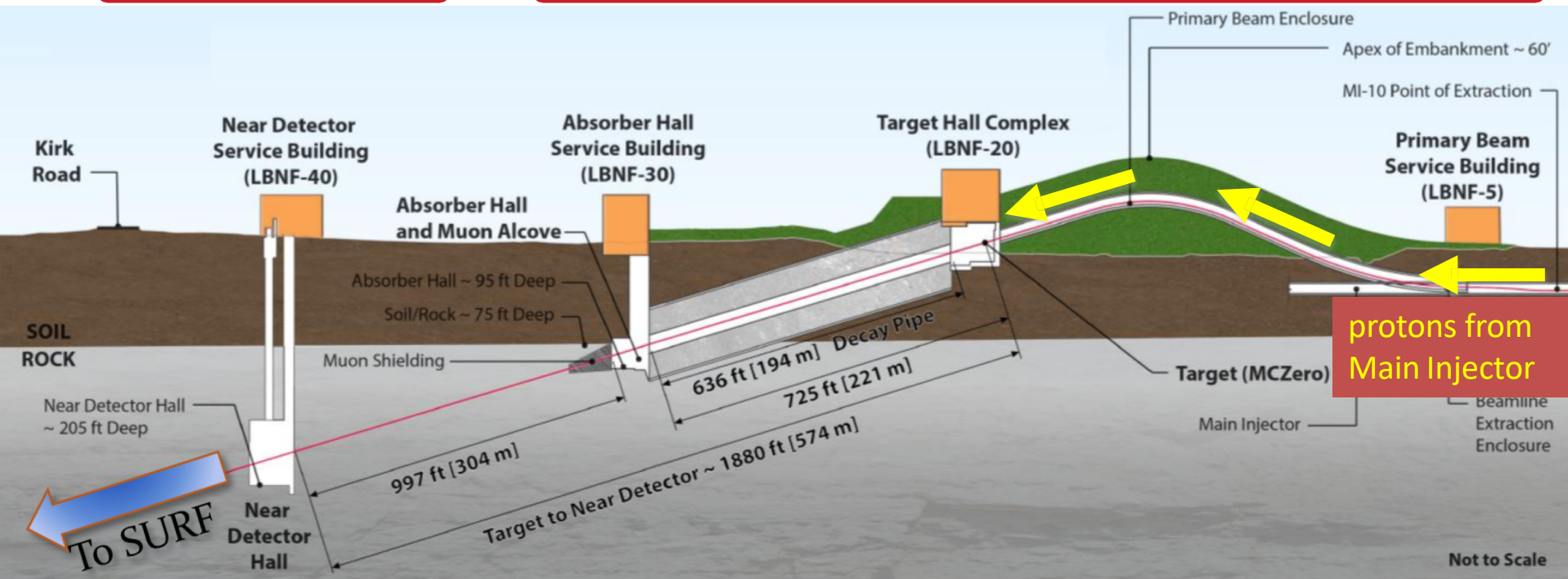


# Long-Baseline Neutrino Facility (LBNF)



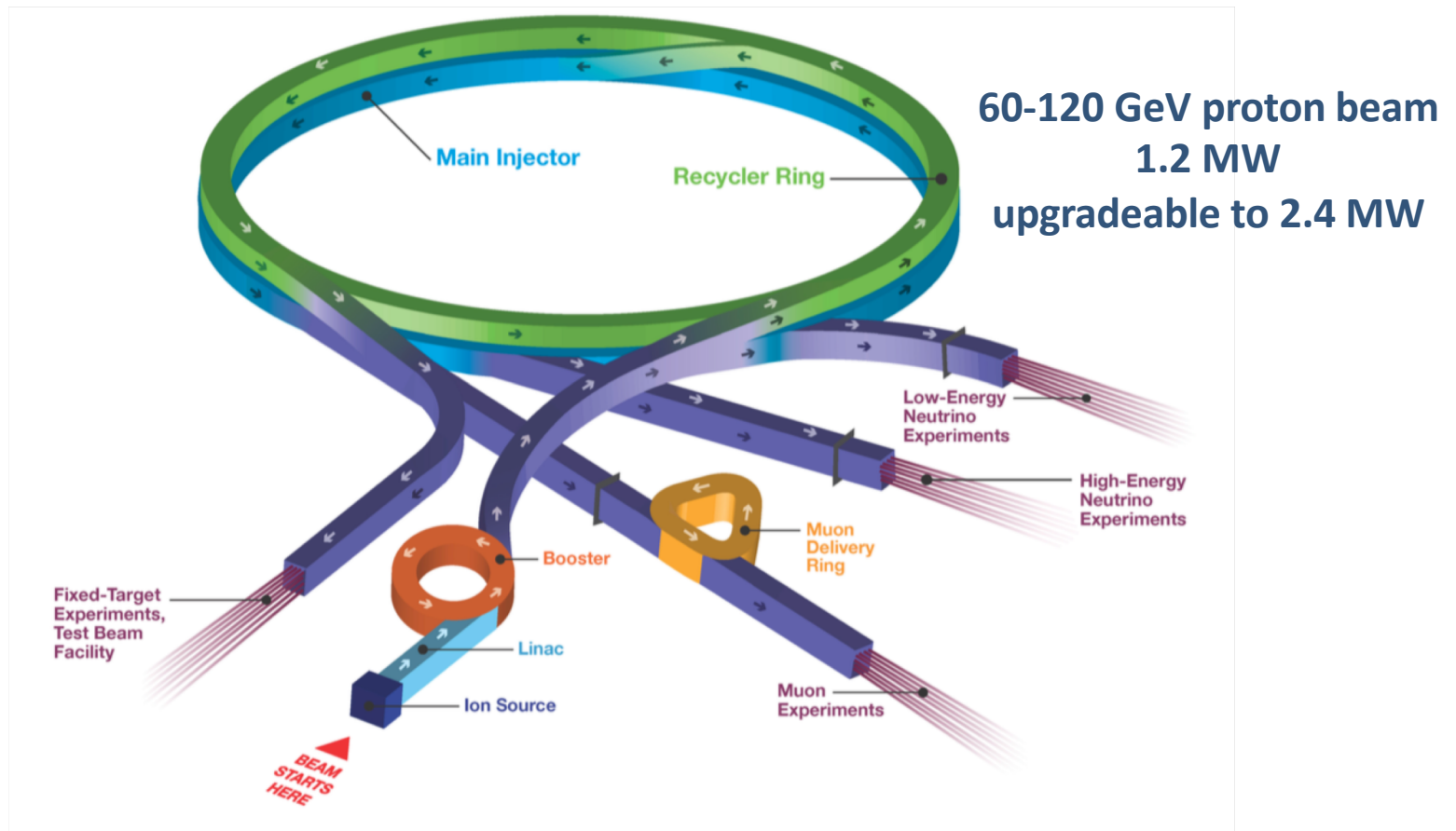
**Near Detectors**

**LBNF Neutrino beam-line**





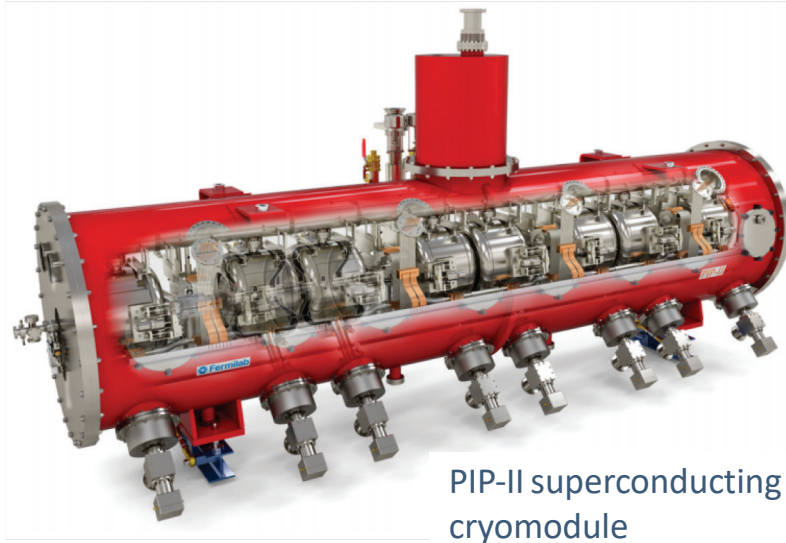
# Fermilab Accelerator Complex



- Reference design similar to existing NuMI (used for the NOvA experiment), optimized to improve sensitivity to oscillation measurements

# Proton Improvement Plan

---



PIP-II superconducting cryomodule

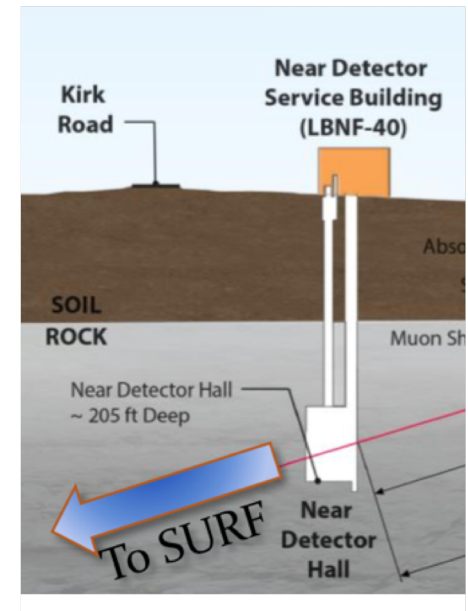


- Megawatt proton beams
  - 700-foot-long 800 MeV superconducting linear accelerator
  - PIP-II Groundbreaking November 2019!
-

# The DUNE Near Detector

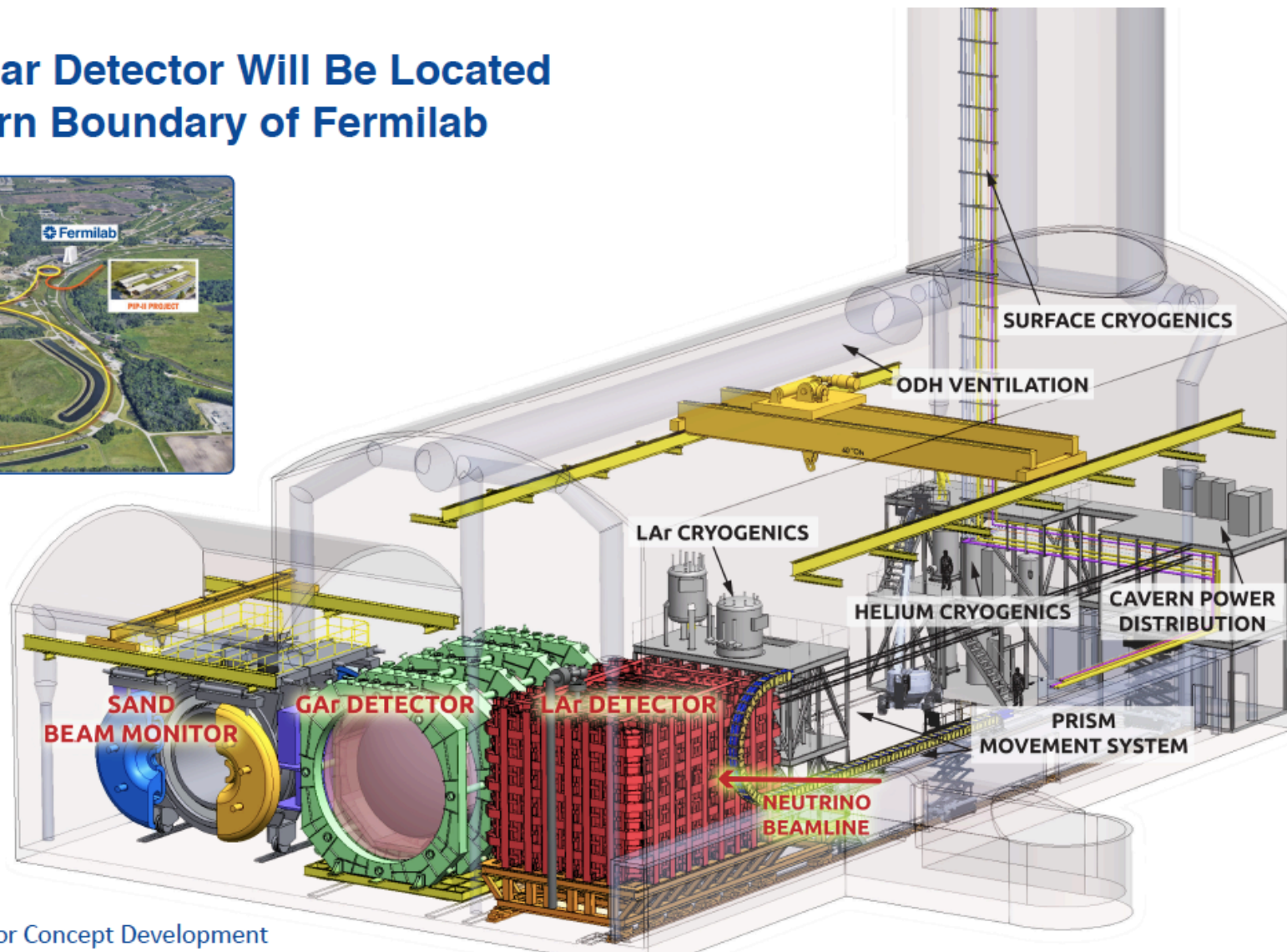
---

- Constrain systematic uncertainties for LBL oscillation analysis
  - Also enables high precision neutrino interaction physics
- Integrated system composed of multiple detectors
  - Highly segmented Liquid Argon Time Projection Chamber ( $\sim 75$  t fid.) (ArCube)
  - Magnetized multi-purpose tracker w/ High Pressure Ar-CH<sub>4</sub> TPC ( $\sim 1$  t fid.) surrounded by electromagnetic calorimeter and muon tagger (MPD)
  - Magnetized on axis detector for monitoring and redundancy (SAND)
- Capability for the ArCube +MPD to move off axis
- ND Conceptual Design Report (CDR) end 2020



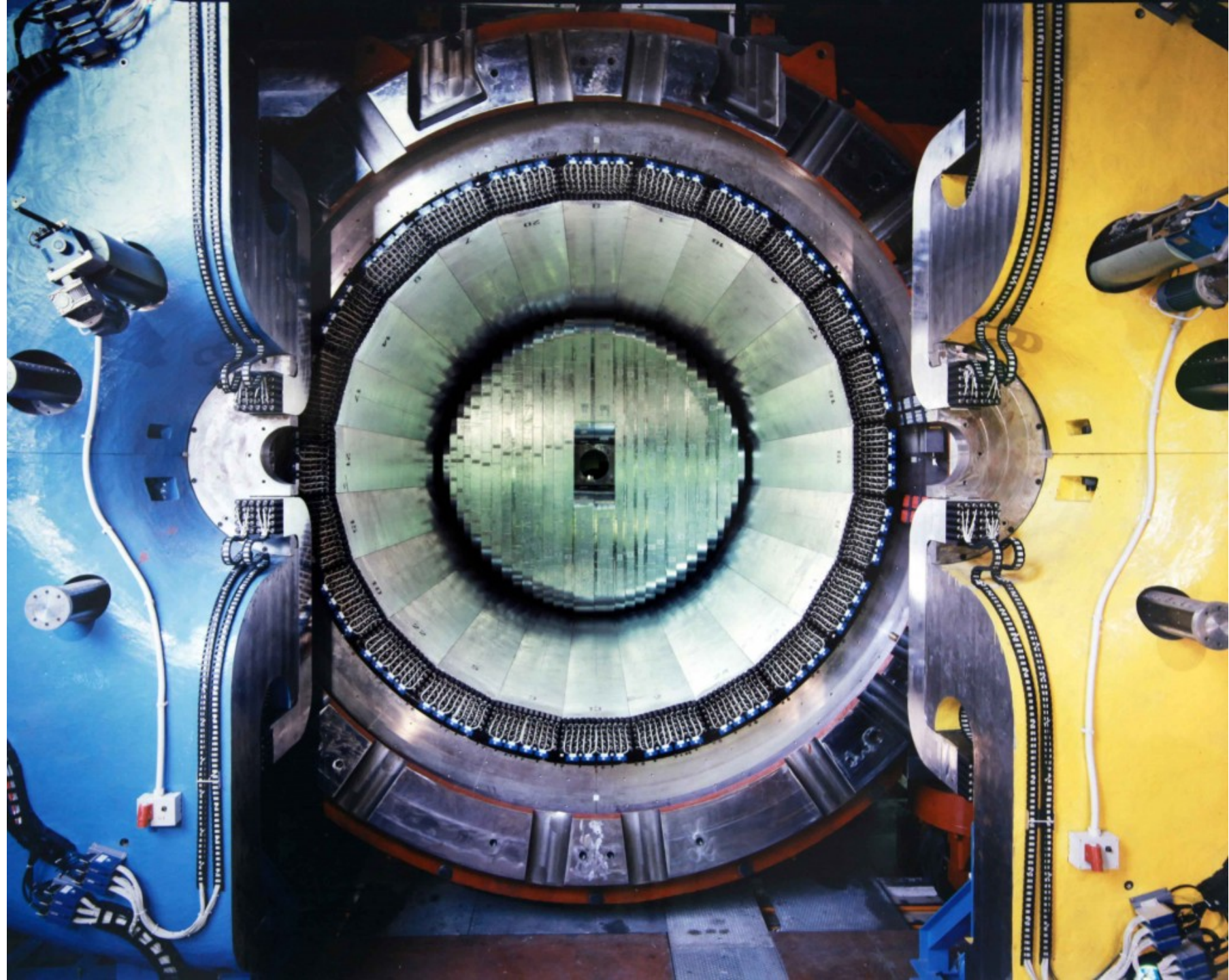
# SAND within the ND complex

The DUNE Near Detector Will Be Located  
At The Western Boundary of Fermilab



DUNE-ND I&I Team  
Supported Early Detector Concept Development







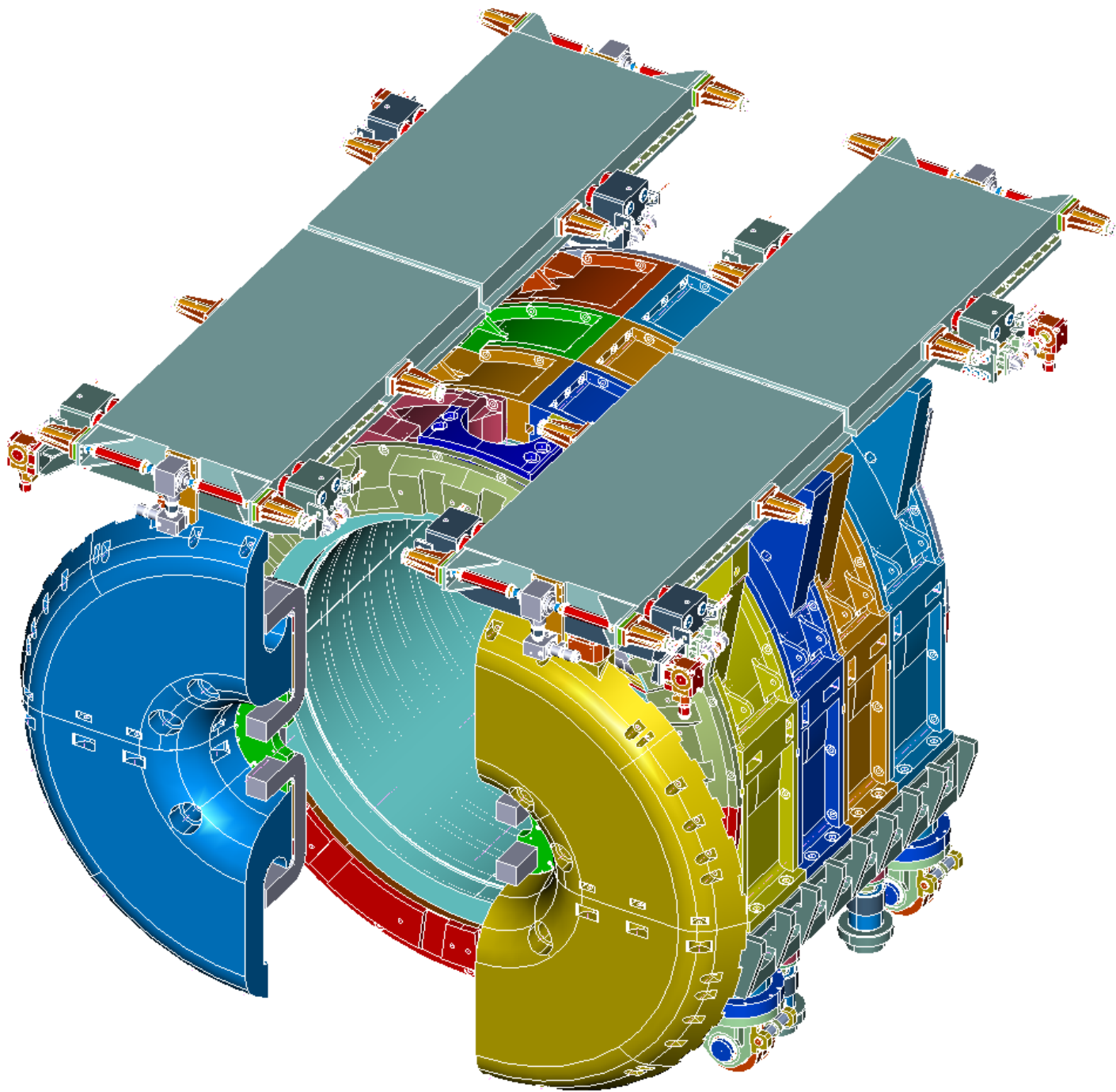








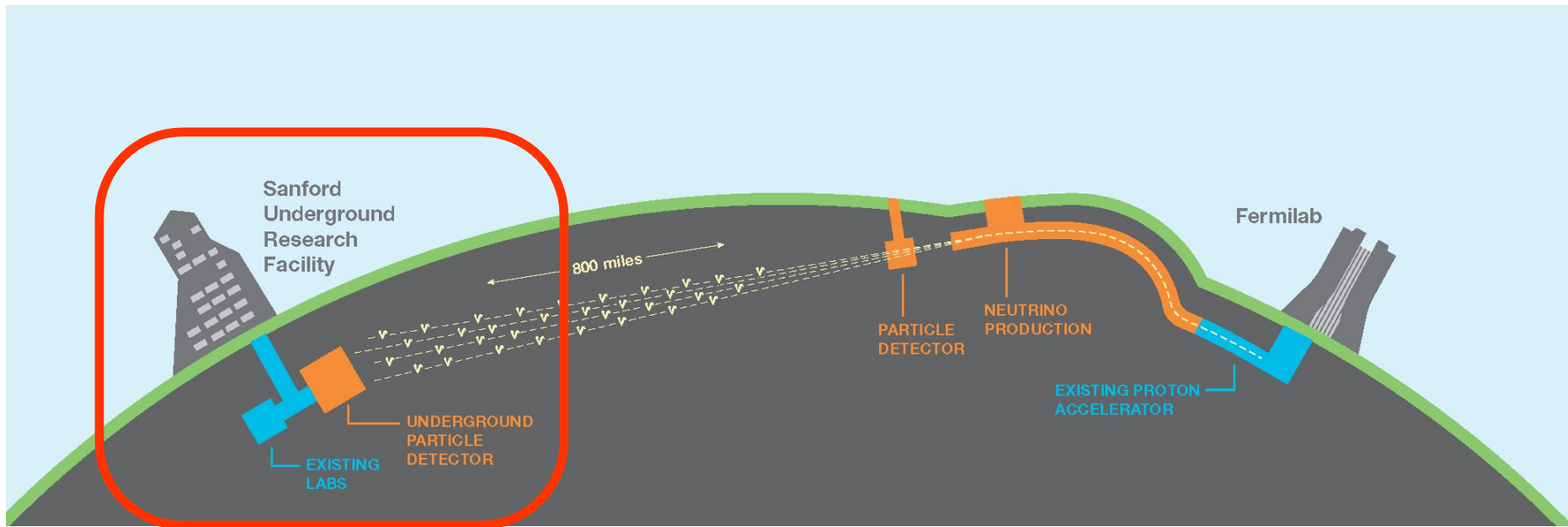




# Sanford Underground Research Facility (SURF)

<https://sanfordlab.org/>

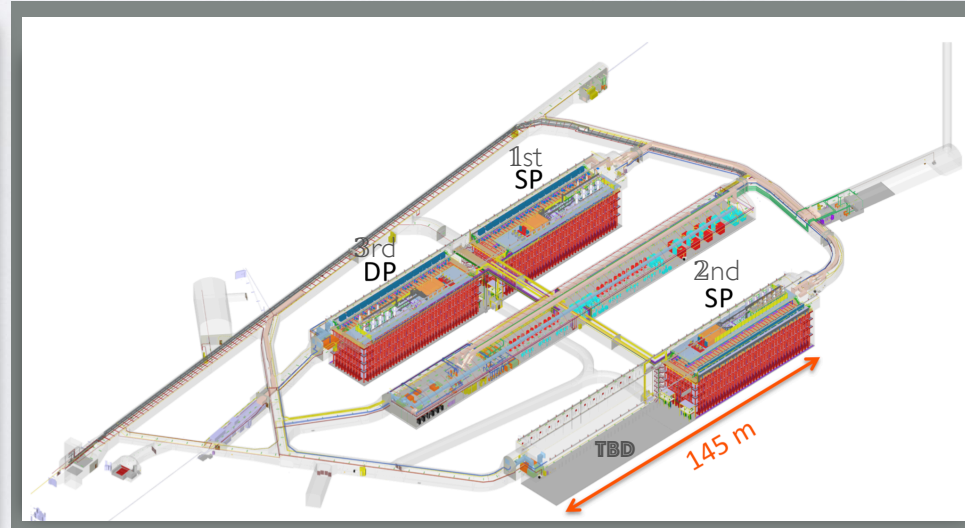
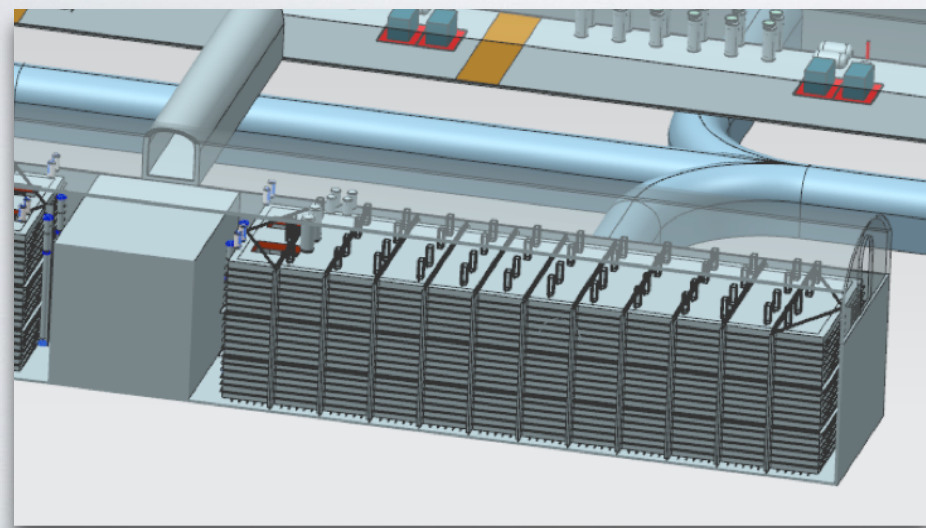
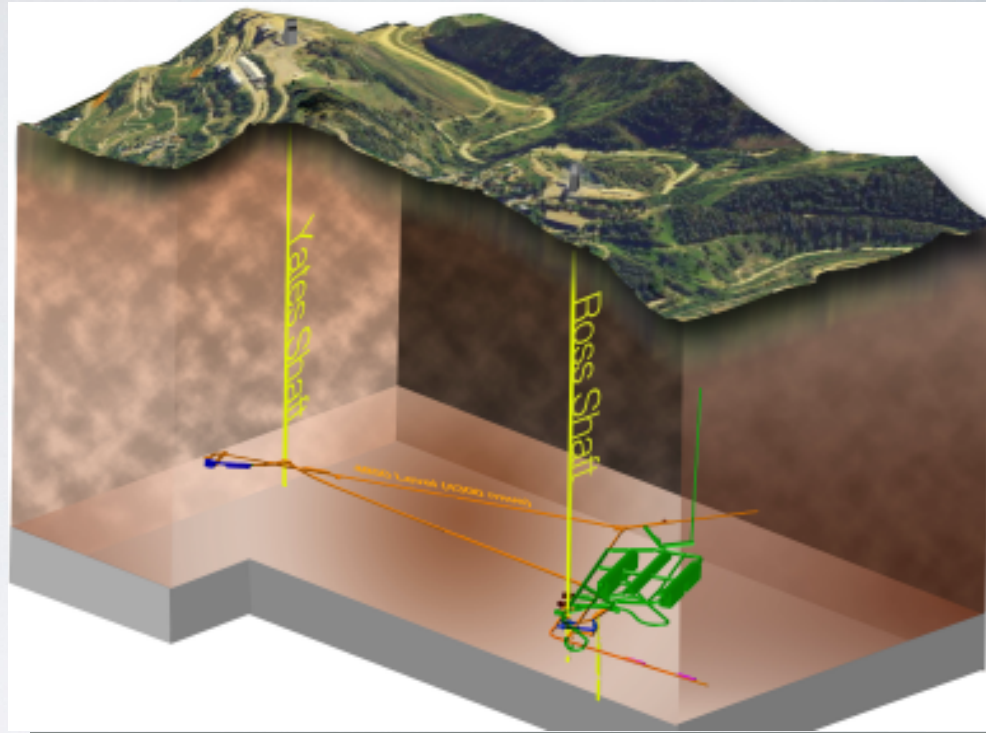
**Far Site: 1300 km from Fermilab**



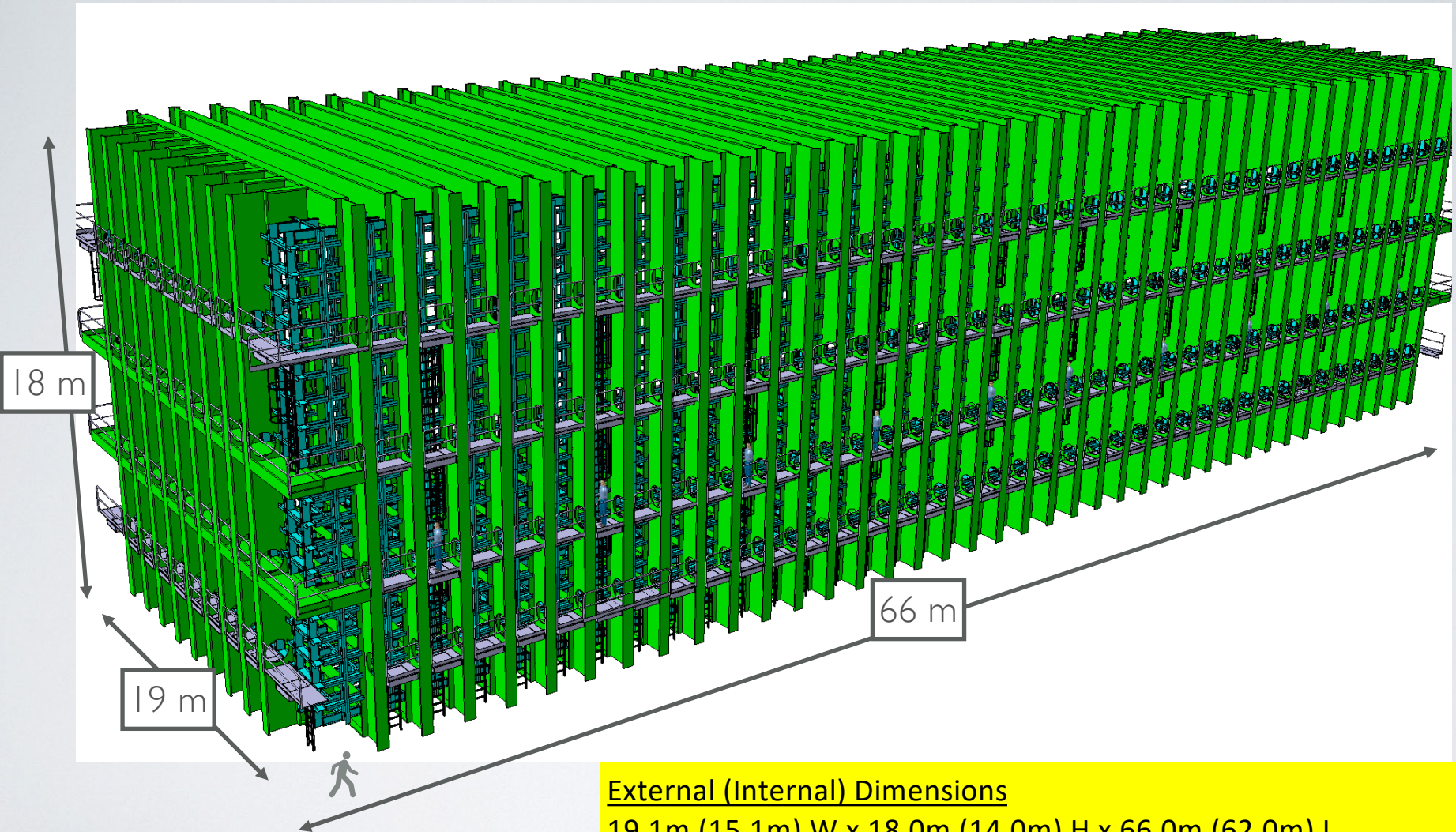


# “Far Site”: SURF 4850 Level

- Major underground excavation removing ~800,000 tons of rock
- Two large caverns housing **four** cryostats and a central utility space
- ~ 70000 tons of LAr to fill the cryostats: *the target for neutrino interactions*

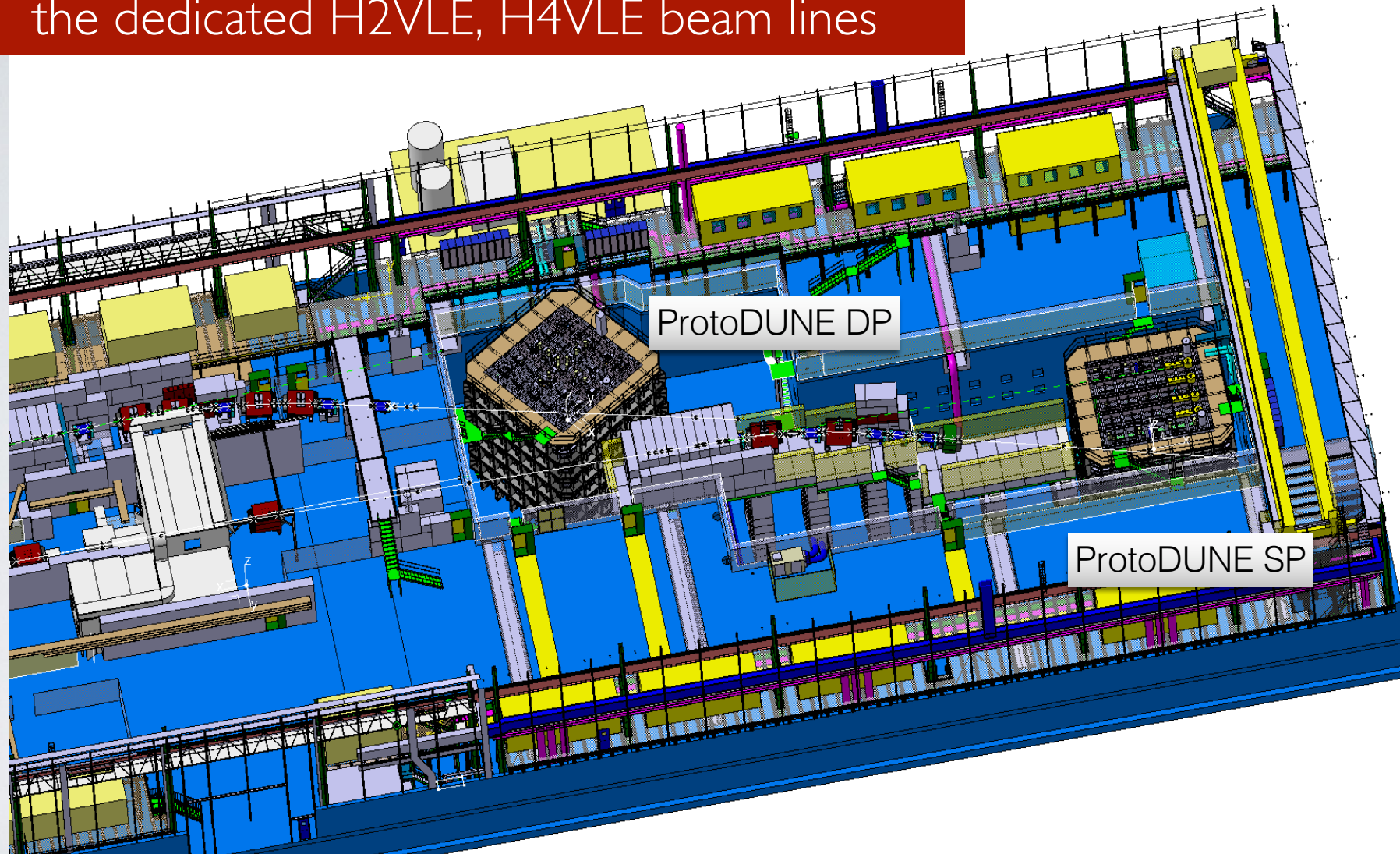


# The first FD Module: 17 kt LAr cryostat





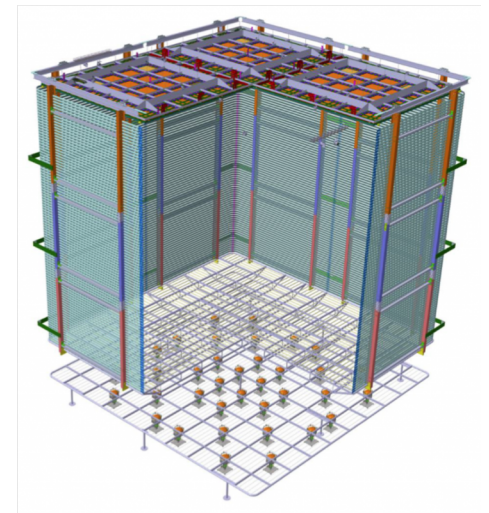
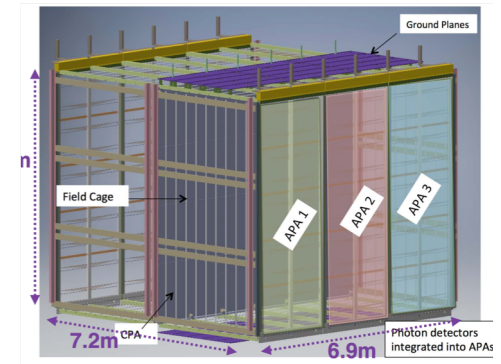
# The Neutrino Platform and the dedicated H2VLE, H4VLE beam lines



extension of the EHN1 experimental area at CERN's Prévezin site

# DUNE Far Detector: Two LAr TPC Approaches

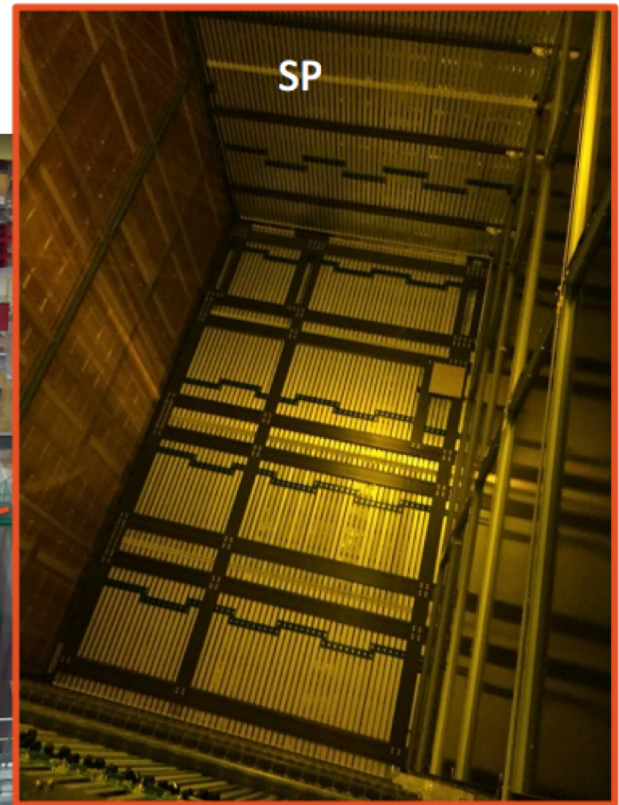
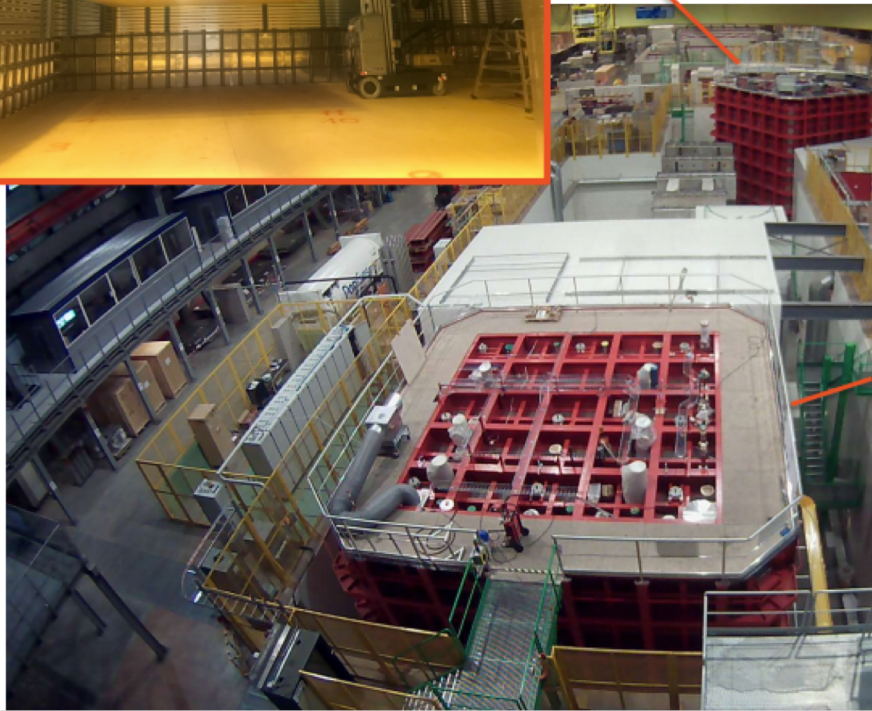
- Single-Phase
  - ICARUS concept
  - 3.6-m horizontal drift in liquid
  - readout in liquid (no avalanche amplification)
- Dual-Phase
  - 12-m vertical drift in liquid
  - extraction from liquid to gas (avalanche amplification in gas)





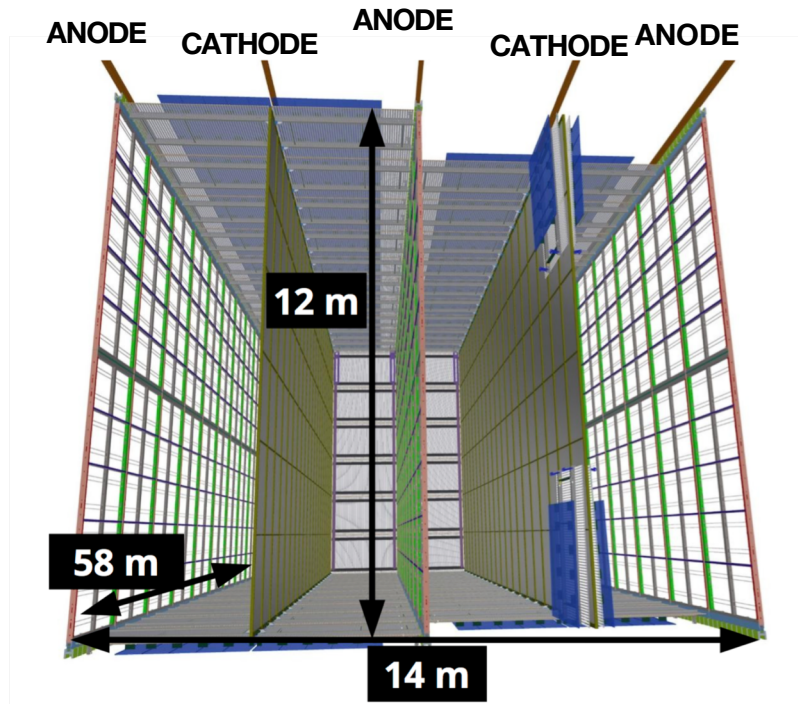
# Large-Scale Prototypes: ProtoDUNE

---





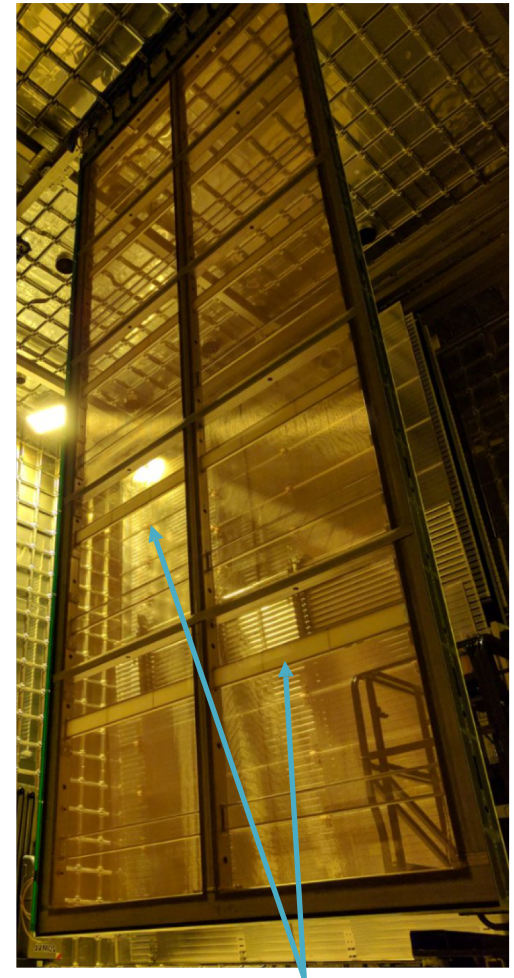
# Single Phase TPC Module



- “Wrapped” anode planes sensitive from both sides (X, U, V, G planes)
- Anode Plane Assembly (APA): 6 m x 2.8 m; 150 APAs per 17 kt module
  - 3520 wires/APA; 2560 readout channels/APA
- 500 V/cm drift field: 180 kV at the cathode

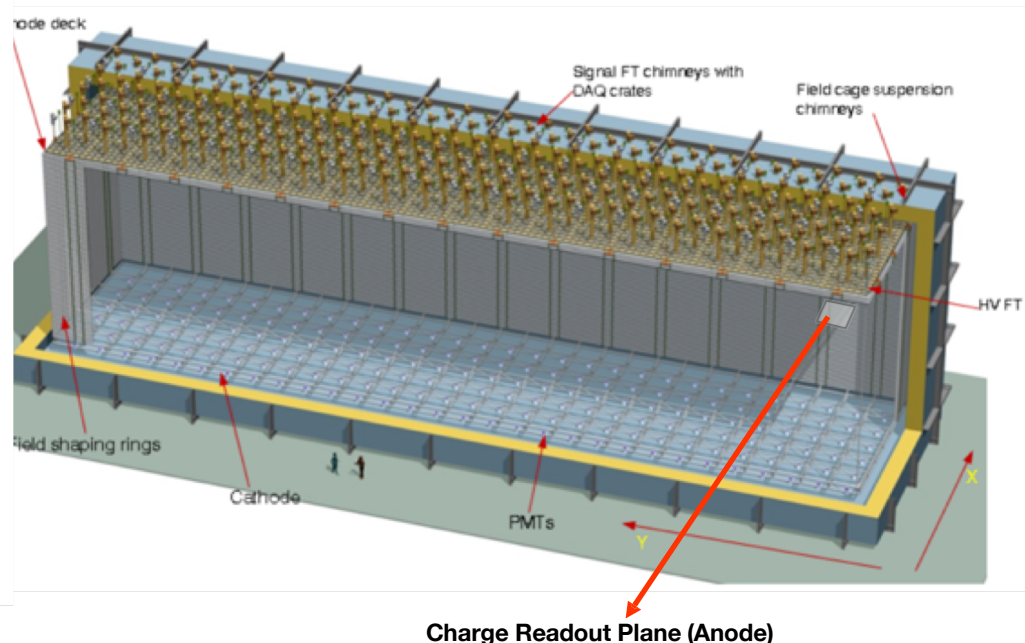
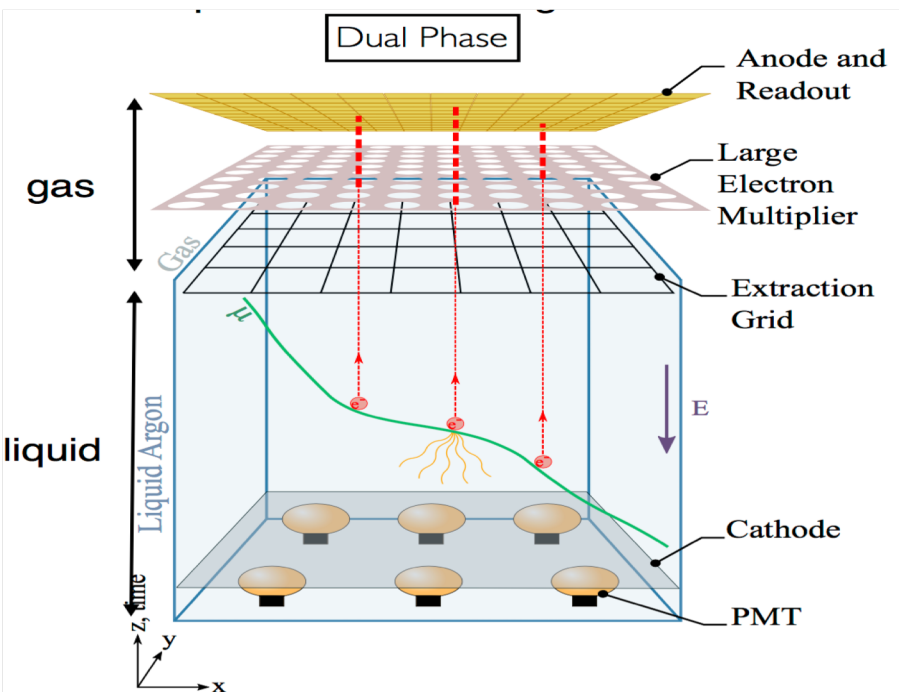
# Single Phase Module – Photon Detectors

- Event time essential for nucleon decay and SNB neutrino events localization and energy correction
- Complementary trigger to TPC for SNB and extends sensitivity out to nearby dwarf galaxies
- Challenge: Need to be embedded within the  $\sim 5\text{cm}$  space between anode planes
- **X-ARAPUCA** is a novel photon detector that uses a “light-trapping” concept to enhance collection efficiency
  - 3.5% photon detection efficiency achieved for incident 127 nm scintillation light
- PD system enhances the energy measurement and may provide better resolution than the TPC below 20 MeV

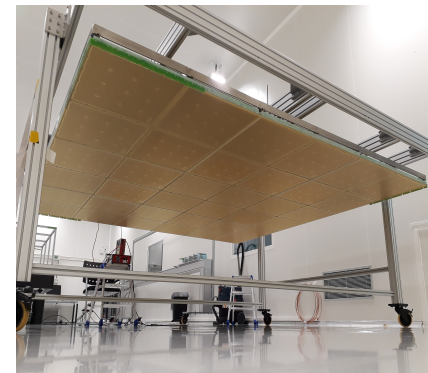


Photon detector embedded in the anode planes

# Dual Phase TPC Module



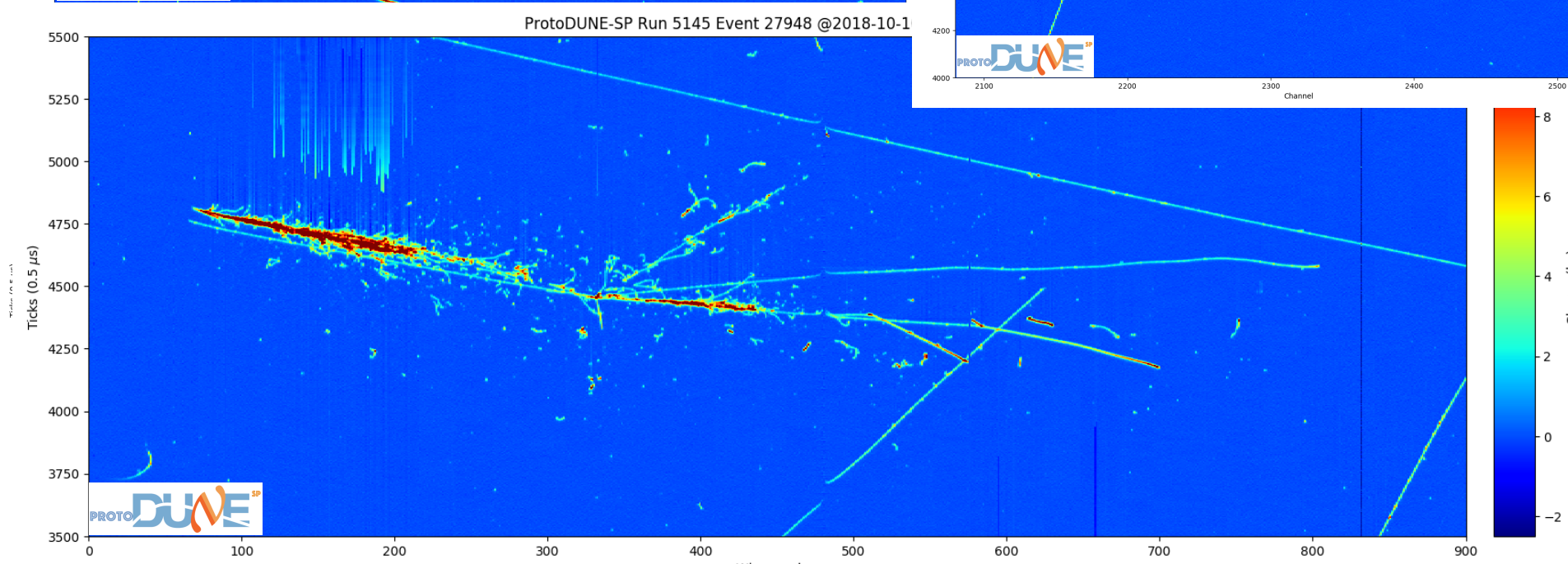
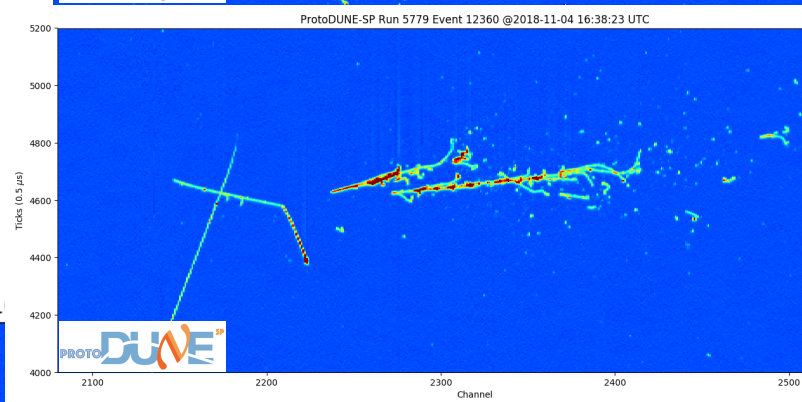
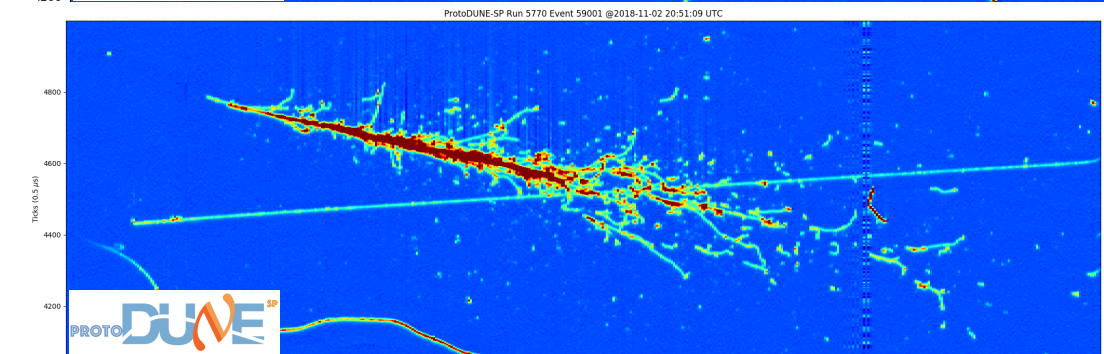
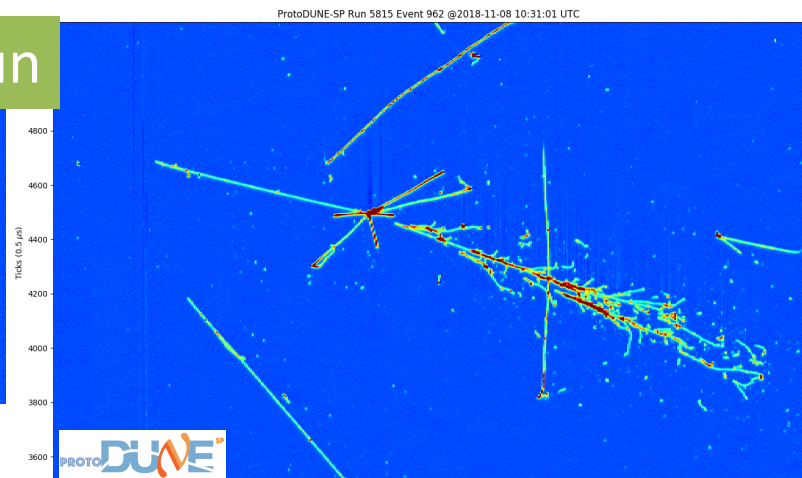
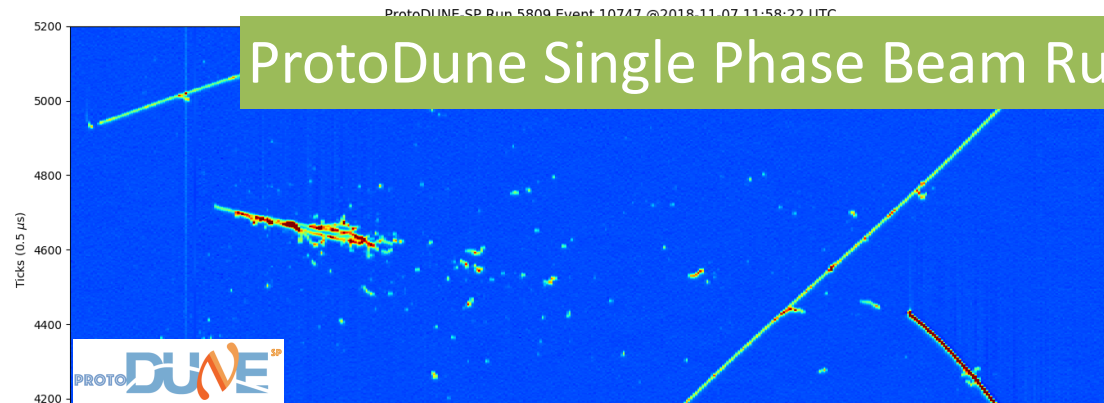
Charge Readout Plane (Anode)



- Single homogeneous LAr drift volume
- Signal amplification in the gas phase leads to improved signal/noise
- Photosensors under semi-transparent cathode grid
- 500 V/cm drift field: 600 kV at the cathode

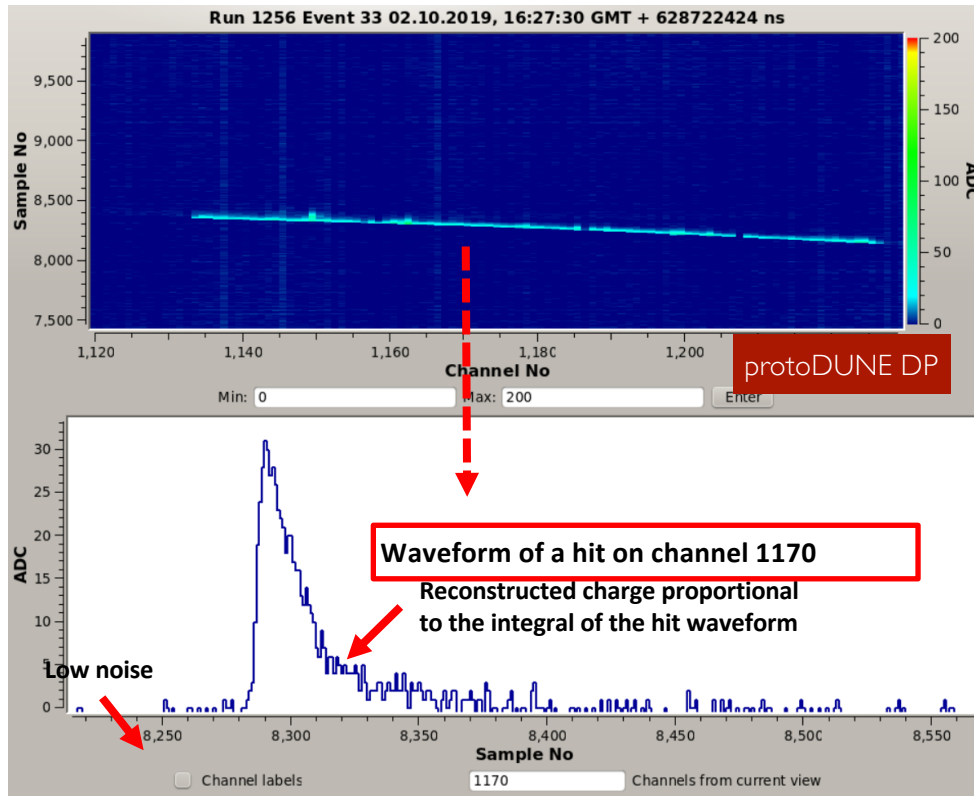
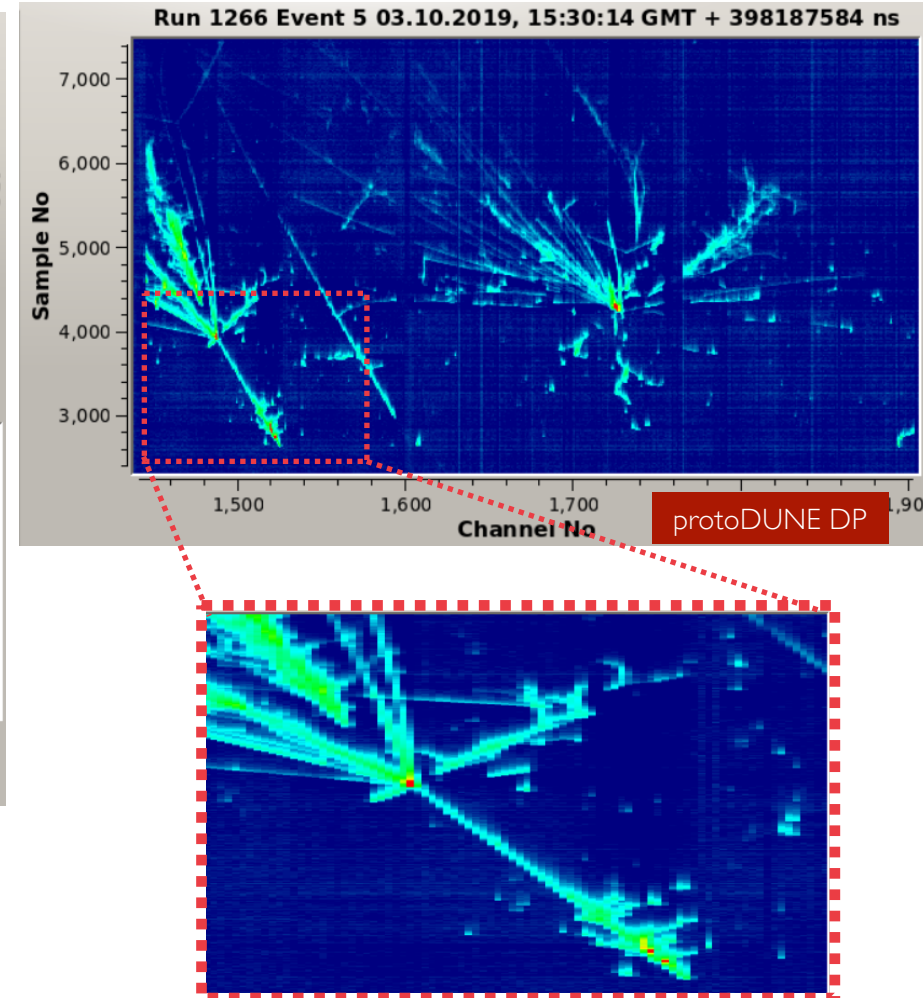


# ProtoDUNE Single Phase Beam Run



## ProtoDune DoublePhase Cosmic Rays Events

Horizontal muon track

- LEM  $\Delta V = 3.1$  kVMultiple hadronic interactions in a shower - LEM  $\Delta V = 3.2$  kV

# DUNE/LBNF Outlook

---

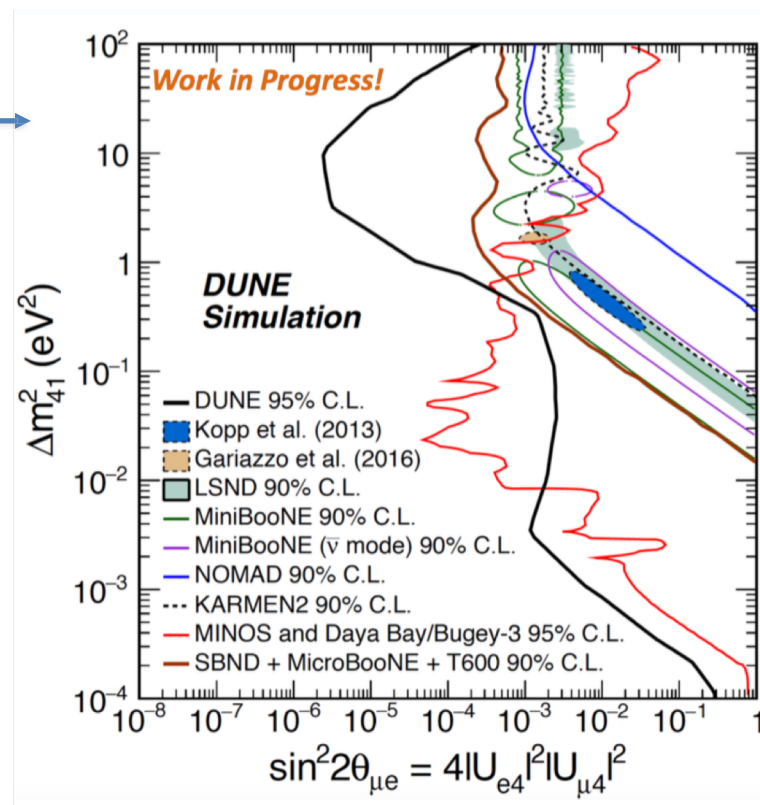
- LBNF
    - Far site pre-excavation work underway (rock disposal systems etc.); cavern excavation 2021-24
    - Near site construction starts 2021
    - Neutrino beam construction started
  - DUNE
    - Far Detector TDR February 2020; Near Detector CDR fall 2020
    - Module 1 Single Phase installation begins end 2024
    - Followed immediately by a second module
    - Module 4 “module of opportunity” for a different design – a workshop later this year to begin exploration of ideas
  - First module live ~2026 – SNB & atmospheric neutrino
-



# Beyond Standard Model

- DUNE sensitive to many BSM particles and processes
- Sterile neutrinos
- Light dark matter
- Boosted dark matter
- Non-standard interactions, non-unitary mixing, CPT violation
- Neutrino trident searches
- Large extra dimensions
- Neutrinos from dark matter annihilation in sun

## Sterile Neutrino Sensitivity ( $\nu_e$ CC appearance at Near Detector)



# To conclude

---

- Since 1998, neutrinos have given us the most promising hints of BSM physics.
  - Their nature and their properties are still a central questions in particle physics.
  - A new generation of experiments is underway, with a substantial and strategic Italian involvement in DUNE
  - DUNE/LBNF is heralding this effort addressing the challenge in a global context and enabling a long term, first class environment to enhance our understanding of Fundamental Physics.
-

---

# Thank You

...and thanks to E. Blucher, F. Cavanna, E. Worcester, et al. for the looted material and many useful discussions.

---